

SUMMIT SECURITIES LIMITED

Corporate Identification Number: L65921MH1997PLC194571

Registered Office: 213, Bezzola Complex, B Wing, 71, Sion-Trombay Road, Chembur, Mumbai - 400071

Tel No.: +91-22-25292152/54/55 Fax No: +91-22-25297423

Website : www.summitsecurities.net Email : summitsec@gmail.com

Format of holding of specified securities pursuant to SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

- 1 **Name of Listed Entity:** : SUMMIT SECURITIES LIMITED
- 2 **Scrip Code/Name of Scrip/Class of Security** : 533306/SUMMIT SECURITIES LIMITED/EQUITY
- 3 **Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)** : Reg. 31(1)(b)
- a. **If under 31(1)(b) then indicate the report for Quarter ending** : DECEMBER 31, 2016
- b. **If under 31(1)(c) then indicate date of allotment/extinguishment** : N.A.
- 4 **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?	-	No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	-	No
4	Whether the Listed Entity has any shares in locked-in?	-	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	-	No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No. of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

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Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form							
																			(IX)		(XII)		(XIII)		
								(VII) = (IV)+(V)+(VI)						(VIII) As a % of (A+B+C2)					(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
								No of Voting Rights						Total as a % of (A+B+C)		No.	As a % of total Shares held				No.	As a % of total Shares held			
Class eg: X	Class eg: y	Total	(a)	(b)	(a)	(b)																			
(A)	Promoter & Promoter Group	13	8066632	0	0	8066632	73.99	8066632	0	8066632	74.17	0	73.99	0	0.00	0	0.00	8066632							
(B)	Public	61399	2835149	0	0	2835149	26.01	2809644	0	2809644	25.83	0	26.01	0	0.00	NA		2628789							
(C)	Non Promoter- Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0							
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0							
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0							
	Total	61412	10901781	0	0	10901781	100.00	10876276	0	10876276	100.00	0	100.00	0	0	0	0	10695421							

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	PAN	No. of share holder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares	Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form			
								(IX)						(X)	(XI) = (VII)+(X) as a % of A+B+C2		(XIII)		
								No of Voting Rights									Total as a % of Total Voting rights	No.	As a % of total Shares held
								Class X	Class Y	Total									
(1) Indian																			
(a) Individuals/Hindu undivided Family		3	3682	0	0	3682	0.03	3682	0	3682	0.03	0	0	0	0	3682			
i Harsh Vardhan Goenka	AABPG7041G	1	2153	0	0	2153	0.02	2153	0	2153	0.02	0	0.00	0	0.00	2153			
ii Anant Vardhan Goenka	AENPG5875N	1	1318	0	0	1318	0.01	1318	0	1318	0.01	0	0.00	0	0.00	1318			
iii Rama Prasad Goenka	AEFPG4687J	1	211	0	0	211	0.00	211	0	211	0.00	0	0.00	0	0.00	211			
(b) Central Government/ State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0			
(c) Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0			
(d) Any Other (specify)		10	8062950	0	0	8062950	73.96	8062950	0	8062950	74.13	0	0.00	0	0.00	8062950			
(d-1) Bodies Corporate		5	8046789	0	0	8046789	73.81	8046789	0	8046789	73.99	0	0.00	0	0.00	8046789			
i Swallow Associates LLP	ACGFS5041R	1	7329731	0	0	7329731	67.23	7329731	0	7329731	67.39	0	0.00	0	0.00	7329731			
ii RPG Cellular Investments And Holdings Pvt Ltd *	AACCR1550M	1	290799	0	0	290799	2.67	290799	0	290799	2.67	0	0.00	0	0.00	290799			
iii Jubilee Investments & Industries Ltd**	AAACR5115J	1	2285	0	0	2285	0.02	2285	0	2285	0.02	0	0.00	0	0.00	2285			
iv Carniwal Investments Ltd	AABCC0206A	1	354159	0	0	354159	3.25	354159	0	354159	3.26	0	0.00	0	0.00	354159			
v STEL Holdings Limited	AADCS3332H	1	69815	0	0	69815	0.64	69815	0	69815	0.64	0	0.00	0	0.00	69815			
(d-2) Trust		5	16161	0	0	16161	0.15	16161	0	16161	0.15	0	0.00	0	0.00	16161			
i Harsh Vardhan Goenka, Trustee of Monitor Portfolio Trust +	AADTM0341H	1	16157	0	0	16157	0.15	16157	0	16157	0.15	0	0.00	0	0.00	16157			
ii Harsh Vardhan Goenka, Trustee of Stellar Energy Trust ++	AALTS1767R	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	0	0.00	1			
iii Harsh Vardhan Goenka, Trustee of Crystal India Tech Trust +++	AABTC3542J	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	0	0.00	1			
iv Harsh Vardhan Goenka, Trustee of Nucleus Life Trust ++++	AABTN5833D	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	0	0.00	1			
v Harsh Vardhan Goenka, Trustee of Secura India Trust +++++	AALTS1766Q	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	0	0.00	1			
Sub-Total (A)(1)		13	8066632	0	0	8066632	73.99	8066632	0	8066632	74.17	0	0.00	0	0.00	8066632			
(2) Foreign																			
(a) Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0			
(b) Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0			
(c) Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0			
(d) Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0			
(e) Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0			
Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0			
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		13	8066632	0	0	8066632	73.99	8066632	0	8066632	74.17	0	0.00	0	0.00	8066632			

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.: NIL

Note:
 * RPG Cellular Investments & Holdings Pvt. Ltd. merged with Swallow Associates Limited (SAL) pursuant to the Scheme of Amalgamation and Arrangement between SAL and Blue Niles Holdings Limited, South Asia Electricity Holdings Limited, Kestral Investments Limited, Petrochem International Limited and RCIHPL duly sanctioned by the Honorable High Court of Judicature at Bombay w.e.f. March 27, 2012. Further, SAL has been converted into a Limited Liability Partnership with effect from October 31, 2012 and is known as Swallow Associates LLP.

** Jubilee Investments & Industries Limited merged with RPG Cellular Investments and Holdings Private Limited (RCIHPL) pursuant to the Scheme of Amalgamation between RPG Communication & Holdings Private Limited, KTL Industrial Finance Company Limited, Koclim Breweries Private Limited, Yield Investments Private Limited and Jubilee Investments & Industries Limited with RCIHPL duly sanctioned by the Honorable High Court of Calcutta on June 11, 2009. RCIHPL merged with Swallow Associates Limited (SAL) pursuant to the Scheme of Amalgamation and Arrangement between SAL and Blue Niles Holdings Limited, South Asia Electricity Holdings Limited, Kestral Investments Limited, Petrochem International Limited and RCIHPL duly sanctioned by the Honorable High Court of Judicature at Bombay w.e.f. March 27, 2012. Further, SAL has been converted into a Limited Liability Partnership with effect from October 31, 2012 and is known as Swallow Associates LLP.

- + Mr. Harsh Vardhan Goenka is holding shares as a Trustee of Monitor Portfolio Trust.
- ++ Mr. Harsh Vardhan Goenka is holding shares as a Trustee of Stellar Energy Trust.
- +++ Mr. Harsh Vardhan Goenka is holding shares as a Trustee of Crystal India Tech Trust.
- ++++ Mr. Harsh Vardhan Goenka is holding shares as a Trustee of Nucleus Life Trust.
- +++++ Mr. Harsh Vardhan Goenka is holding shares as a Trustee of Secura India Trust.

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Table III - Statement showing shareholding pattern of the Public shareholder

(I)	Category & Name of the Shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise		Number of equity shares held in dematerialize d form		
									(IX)					(X)	(XII)		(XIII)			
									No of Voting Rights						Total as a % of Total Voting rights	No.	As a % of total Shares		No. (a)	As a % of (b)
									Class X	Class Y	Total									
(1)	Institutions:																			
(a)	Mutual Funds/UTI		15	85897	0	0	85897	0.79	85897	0	85897	0.79	0	0.00	0	0.00	NA	85558	0	
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	0	
(c)	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	0	
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	0	
(e)	Foreign Portfolio Investors		31	958	0	0	958	0.01	958	0	958	0.01	0	0.00	0	0.00	NA	7	0	
(f)	Financial Institutions/ Banks		52	899	0	0	899	0.01	899	0	899	0.01	0	0.00	0	0.00	NA	461	0	
(g)	Insurance Companies		7	357836	0	0	357836	3.28	357836	0	357836	3.29	0	0.00	0	0.00	NA	357831	0	
(g-1)	Life Insurance Corporation of India	AAACL0582H	1	297152	0	0	297152	2.73	297152	0	297152	2.73	0	0.00	0	0.00	NA	297147	0	
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	0	
(i)	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	0	
	Sub-Total (B)(1)		105	445590	0	0	445590	4.09	445590	0	445590	4.10	0	0.00	0	0.00	NA	443857	0	
(2)	Central Government/ State Government(s)/ President of India		2	538	0	0	538	0.00	538	0	538	0.00	0	0.00	0	0.00	NA	0	0	
	Sub-Total (B)(2)		2	538	0	0	538	0.00	538	0	538	0.00	0	0.00	0	0.00	NA	0	0	
(3)	Non-institutions																			
(a)	Individuals -		60640	1760370	0	0	1760370	16.14	1760370	0	1760370	16.18	0	0.00	0	0.00	NA	1657628	0	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		60635	1344713	0	0	1344713	12.33	1344713	0	1344713	12.36	0	0.00	0	0.00	NA	1241971	0	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		5	415657	0	0	415657	3.81	415657	0	415657	3.82	0	0.00	0	0.00	NA	415657	0	
i	Muckatira Bhemaiah Chinappa	ABVPC5804C	1	165299	0	0	165299	1.52	165299	0	165299	1.52	0	0.00	0	0.00	NA	165299	0	
(b)	NBFCs registered with RBI		2	256	0	0	256	0.00	256	0	256	0.00	0	0.00	0	0.00	NA	256	0	
(c)	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	0	
(d)	Overseas Depositories (holding DRs)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	0	
(e)	Any Other (specify)		650	628395	0	0	628395	5.77	602890	0	602890	5.55	0	0.00	0	0.00	NA	527048	0	
i	Unclaimed Securities Suspense Account		1	25505	0	0	25505	0.23	0	0	0	0.00	0	0.00	0	0.00	NA	25505	0	
ii	Trusts		13	5357	0	0	5357	0.05	5357	0	5357	0.05	0	0.00	0	0.00	NA	5357	0	
iii	Foreign Companies		4	99122	0	0	99122	0.91	99122	0	99122	0.91	0	0.00	0	0.00	NA	0	0	
iv	Directors & Relatives		2	101	0	0	101	0.00	101	0	101	0.00	0	0.00	0	0.00	NA	0	0	
v	Clearing Members		128	31185	0	0	31185	0.29	31185	0	31185	0.29	0	0.00	0	0.00	NA	31185	0	
vi	Bodies Corporate		499	465051	0	0	465051	4.27	465051	0	465051	4.28	0	0.00	0	0.00	NA	462927	0	
(vi-1)	Vls Finance Ltd	AAACV4183G	1	181561	0	0	181561	1.67	181561	0	181561	1.67	0	0.00	0	0.00	NA	181561	0	
(vi-2)	SMIFS CAPITAL MARKETS LTD.	AAECS4139D	1	156500	0	0	156500	1.44	156500	0	156500	1.44	0	0.00	0	0.00	NA	156500	0	
vii	Limited Liability Partnership - LLP		3	2074	0	0	2074	0.02	2074	0	2074	0.02	0	0.00	0	0.00	NA	2074	0	
	Sub-Total (B)(3)		61292	2389021	0	0	2389021	21.91	2363516	0	2363516	21.73	0	0.00	0	0.00	NA	2184932	0	
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		61399	2835149	0	0	2835149	26.01	2809644	0	2809644	25.83	0	0.00	0	0.00	NA	2628789	0	

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): NIL

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

As 25505 shares are lying in the Unclaimed Securities Suspense account as on 31.12.2016 out of the 25673 shares transferred therein, and these shares do not carry voting rights as per Regulation 39(4) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (Clause 5A of the erstwhile Listing Agreement), the voting rights have been calculated on the reduced capital.

Note:

- PAN would not be displayed on website of Stock Exchange(s).
- The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.
- W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form																			
																	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C 2) (VIII)	(IX)			(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)		(XIII)		(XIV) (Not Applicable)	
																									No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (not applicable)		As a % of total shares held (Not applicable)
																									Class X	Class Y	Total								
(1)	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0																	
(a)	Name of DR Holder (if available)																																		
(i)	abc,...																																		
(ii)	efg,...																																		
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0																	
(a)	Name (abc...																																		
	Total Non-Promoter- Non Public Shareholding (C)=		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0																	