

SUMMIT SECURITIES LIMITED

Corporate Identification Number: L65921MH1997PLC194571

Registered Office: 213, Bezzola Complex, B Wing, 71, Sion-Trombay Road, Chembur, Mumbai - 400071

Tel No.: +91-22-25292152/54/55 Fax No: +91-22-25297423

Website : www.summitsecurities.net Email : summitsec@gmail.com

Format of holding of specified securities pursuant to SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

- 1 **Name of Listed Entity:** : SUMMIT SECURITIES LIMITED
- 2 **Scrip Code/Name of Scrip/Class of Security** : 533306/SUMMIT SECURITIES LIMITED/EQUITY
- 3 **Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)** : Reg. 31(1)(b)
- a. **If under 31(1)(b) then indicate the report for Quarter ending** : SEPTEMBER 30, 2016
- b. **If under 31(1)(c) then indicate date of allotment/extinguishment** : N.A.
- 4 **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No. of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

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Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
																			No of Voting Rights
								No.	As a % of total Shares held	No.	As a % of total Shares held								
														(a)	(b)	(a)	(b)		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
								Class eg: X			Class eg:y	Total							
(A)	Promoter & Promoter Group	13	8049728	0	0	8049728	73.84	8049728	0	8049728	74.01	0	73.84	0	0.00	0	0.00	8049728	
(B)	Public	62134	2852053	0	0	2852053	26.16	2826540	0	2826540	25.99	0	26.16	0	0.00	NA		2645164	
(C)	Non Promoter- Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0	
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0	
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0	
Total		62147	10901781	0	0	10901781	100.00	10876268	0	10876268	100.00	0	100.00	0	0	0	0	10694892	

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	PAN	No. of share holder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								(IX)					Total as a % of Total Voting rights	(XII)		(XIII)		
								No of Voting Rights						No.	As a % of total Shares held	No.		As a % of total shares held
								Class X	Class Y	Total								
(1) Indian						(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)				(X)	(XI) = (VII)+(X) as a % of A+B+C2				(XIV)		
(a) Individuals/Hindu undivided Family		3	3682	0	0	3682	0.03	3682	0	3682	0.03	0	0	0	0	0	3682	
i Harsh Vardhan Goenka	AABPG7041G	1	2153	0	0	2153	0.02	2153	0	2153	0.02	0	0.02	0	0.00	0	0.00	2153
ii Anant Vardhan Goenka	AENPG5875N	1	1318	0	0	1318	0.01	1318	0	1318	0.01	0	0.01	0	0.00	0	0.00	1318
iii Rama Prasad Goenka	AEFFG4687J	1	211	0	0	211	0.00	211	0	211	0.00	0	0.00	0	0.00	0	0.00	211
(b) Central Government/ State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c) Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d) Any Other (specify)		10	8046046	0	0	8046046	73.81	8046046	0	8046046	73.98	0	73.81	0	0.00	0	0.00	8046046
(d-1) Bodies Corporate																		
i Swallow Associates LLP	ACGFS5041R	1	7328981	0	0	7328981	67.23	7328981	0	7328981	67.39	0	67.23	0	0.00	0	0.00	7328981
ii Carnival Investments Ltd	AABCC0206A	1	354159	0	0	354159	3.25	354159	0	354159	3.26	0	3.25	0	0.00	0	0.00	354159
iii RPG Cellular Investments And Holdings Pvt Ltd *	AACCR1550M	1	290799	0	0	290799	2.67	290799	0	290799	2.67	0	2.67	0	0.00	0	0.00	290799
iv STEL Holdings Limited	AADCS3332H	1	69815	0	0	69815	0.64	69815	0	69815	0.64	0	0.64	0	0.00	0	0.00	69815
v Jubilee Investments & Industries Ltd**	AAACR5115J	1	2285	0	0	2285	0.02	2285	0	2285	0.02	0	0.02	0	0.00	0	0.00	2285
(d-2) Trust																		
i Harsh Vardhan Goenka, Trustee of Monitor Portfolio Trust +	AADTM0341H	1	3	0	0	3	0.00	3	0	3	0.00	0	0.00	0	0.00	0	0.00	3
ii Harsh Vardhan Goenka, Trustee of Stellar Energy Trust ++	AALTS1767R	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	0	0.00	0	0.00	1
iii Harsh Vardhan Goenka, Trustee of Crystal India Tech Trust +++	AABTC3542J	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	0	0.00	0	0.00	1
iv Harsh Vardhan Goenka, Trustee of Secura India Trust++++	AALTS1766Q	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	0	0.00	0	0.00	1
v Harsh Vardhan Goenka, Trustee of Nucleus Life Trust +++++	AABTN5833D	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	0	0.00	0	0.00	1
Sub-Total (A)(1)		13	8049728	0	0	8049728	73.84	8049728	0	8049728	74.01	0	73.84	0	0.00	0	0.00	8049728
(2) Foreign																		
(a) Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b) Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c) Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d) Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e) Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		13	8049728	0	0	8049728	73.84	8049728	0	8049728	74.01	0	73.84	0	0.00	0	0.00	8049728

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

As 25513 shares are lying in the Unclaimed Securities Suspense account as on 30.09.2016 out of the 25673 shares transferred therein, and these shares do not carry voting rights as per Regulation 39(4) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (Clause 5A of the erstwhile Listing Agreement), the voting rights have been calculated on the reduced capital.

Note:

* RPG Cellular Investments & Holdings Pvt. Ltd. merged with Swallow Associates Limited (SAL) pursuant to the Scheme of Amalgamation and Arrangement between SAL and Blue Niles Holdings Limited, South Asia Electricity Holdings Limited, Kestral Investments Limited, Petrochem International Limited and RCIHPL duly sanctioned by the Honorable High Court of Judicature at Bombay w.e.f. March 27, 2012. Further, SAL has been converted into a Limited Liability Partnership with effect from October 31, 2012 and is known as Swallow Associates LLP

** Jubilee Investments & Industries Limited merged with RPG Cellular Investments and Holdings Private Limited (RCIHPL) pursuant to the Scheme of Amalgamation between RPG Communication & Holdings Private Limited, KTL Industrial Finance Company Limited, Kocilim Breweries Private Limited, Yield Investments Private Limited and Jubilee Investments & Industries Limited with RCIHPL duly sanctioned by the Honorable High Court of Calcutta on June 11, 2009. RCIHPL merged with Swallow Associates Limited (SAL) pursuant to the Scheme of Amalgamation and Arrangement between SAL and Blue Niles Holdings Limited, South Asia Electricity Holdings Limited, Kestral Investments Limited, Petrochem International Limited and RCIHPL duly sanctioned by the Honorable High Court of Judicature at Bombay w.e.f. March 27, 2012. Further, SAL has been converted into a Limited Liability Partnership with effect from October 31, 2012 and is known as Swallow Associates LLP

+ Mr. Harsh Vardhan Goenka is holding shares as a Trustee of Monitor Portfolio Trust.

++ Mr. Harsh Vardhan Goenka is holding shares as a Trustee of Stellar Energy Trust.

+++ Mr. Harsh Vardhan Goenka is holding shares as a Trustee of Crystal India Tech Trust.

++++ Mr. Harsh Vardhan Goenka is holding shares as a Trustee of Secura India Trust.

+++++ Mr. Harsh Vardhan Goenka is holding shares as a Trustee of Nucleus Life Trust.

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Table III - Statement showing shareholding pattern of the Public shareholder

(I)	Category & Name of the Shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share)	Number of Locked in shares		Number of Shares pledged or otherwi		Number of equity shares held in dematerialize d form	
									(IX)					Total as a % of Total Voting rights	(XII)		(XIII)		
									No of Voting Rights						No.	As a % of total Shares	No.		As a % of
									Class X	Class Y	Total								
(1)	Institutions																		
(a)	Mutual Funds/UTI		17	85897	0	0	85897	0.79	85897	0	85897	0.79	0	0.00	0	0.00	NA	85528	
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(c)	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(e)	Foreign Portfolio Investors		31	958	0	0	958	0.01	958	0	958	0.01	0	0.00	0	0.00	NA	7	
(f)	Financial Institutions/ Banks		52	899	0	0	899	0.01	899	0	899	0.01	0	0.00	0	0.00	NA	461	
(g)	Insurance Companies		8	377295	0	0	377295	3.46	377295	0	377295	3.47	0	0.00	0	0.00	NA	377290	
(g-1)	Life Insurance Corporation of India	AAACL0582H	1	297152	0	0	297152	2.73	297152	0	297152	2.73	0	0.00	0	0.00	NA	297147	
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(i)	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
	Sub-Total (B)(1)		108	465049	0	0	465049	4.27	465049	0	465049	4.28	0	0.00	0	0.00	NA	463286	
(2)	Central Government/ State Government(s)/ President of India		2	538	0	0	538	0.00	538	0	538	0.00	0	0.00	0	0.00	NA	0	
	Sub-Total (B)(2)		2	538	0	0	538	0.00	538	0	538	0.00	0	0.00	0	0.00	NA	0	
(3)	Non-institutions																		
(a)	Individuals -																		
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		61337	1333265	0	0	1333265	12.23	1333265	0	1333265	12.26	0	0.00	0	0.00	NA	1230024	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		5	401702	0	0	401702	3.68	401702	0	401702	3.69	0	0.00	0	0.00	NA	401702	
	i Muckatira Bhemaiiah Chinappa	ABVPC5804C	2	156557	0	0	156557	1.44	156557	0	156557	1.44	0	0.00	0	0.00	NA	156557	
(b)	NBFCs registered with RBI		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(c)	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(d)	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(e)	Any Other (specify)		682	651499	0	0	651499	5.98	625986	0	625986	5.76	0	0.00	0	0.00	NA	550152	
	i Unclaimed Securities Suspense Account		1	25513	0	0	25513	0.23	0	0	0	0.00	0	0.00	0	0.00	NA	25513	
	ii Trusts		13	4515	0	0	4515	0.04	4515	0	4515	0.04	0	0.00	0	0.00	NA	4515	
	iii Foreign Companies		4	99122	0	0	99122	0.91	99122	0	99122	0.91	0	0.00	0	0.00	NA	0	
	iv Directors & Relatives		2	101	0	0	101	0.00	101	0	101	0.00	0	0.00	0	0.00	NA	0	
	v Clearing Members		131	34566	0	0	34566	0.32	34566	0	34566	0.32	0	0.00	0	0.00	NA	34566	
	vi Bodies Corporate		528	485698	0	0	485698	4.46	485698	0	485698	4.47	0	0.00	0	0.00	NA	483574	
(vi-1)	VIs Finance Ltd	AAACV4183G	1	183437	0	0	183437	1.68	183437	0	183437	1.69	0	0.00	0	0.00	NA	183437	
(vi-2)	SMIFS CAPITAL MARKETS LTD.	AAECS4139D	1	156500	0	0	156500	1.44	156500	0	156500	1.44	0	0.00	0	0.00	NA	156500	
vii	Limited Liability Partnership - LLP		3	1984	0	0	1984	0.02	1984	0	1984	0.02	0	0.00	0	0.00	NA	1984	
	Sub-Total (B)(3)		62024	2386466	0	0	2386466	21.89	2360953	0	2360953	21.71	0	0.00	0	0.00	NA	2181878	
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		62134	2852053	0	0	2852053	26.16	2826540	0	2826540	25.99	0	0.00	0	0.00	NA	2645164	

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): NIL

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

As 25513 shares are lying in the Unclaimed Securities Suspense account as on 30.09.2016 out of the 25673 shares transferred therein, and these shares do not carry voting rights as per Regulation 39(4) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (Clause 5A of the erstwhile Listing Agreement), the voting rights have been calculated on the reduced capital.

Note:
(1) PAN would not be displayed on website of Stock Exchange(s).
(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form																			
																			(I)	(II)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)	(IX)			(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)		(XIII)		(XIV) (Not Applicable)	
																											No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (not applicable)		As a % of total shares held (Not applicable)
																											Class X	Class Y	Total								
(1)	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0																			
(a)	Name of DR Holder (if available)																																				
(i)	abc,...																																				
(ii)	efg,...																																				
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0																			
(a)	Name (abc...)																																				
Total Non-Promoter- Non Public Shareholding (C)=			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			NA	0																			