

General information about company

Scrip code	533306
NSE Symbol	SUMMITSEC
MSEI Symbol	
ISIN	INE519C01017
Name of the company	SUMMIT SECURITIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2019
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of (A+B+C)
								Class eg: X	Class eg: y	Total	
(A)	Promoter & Promoter Group	22	8066723			8066723	73.99	8066723		8066723	74.17
(B)	Public	52569	2835058			2835058	26.01	2809639		2809639	25.83
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	52591	10901781			10901781	100	10876362		10876362	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				73.99					8066723
(B)	Public				26.01					2740846
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					10807569

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	5	3702			3702	0.03	3702		3702	0.03
(d)	Any Other (specify)	17	8063021			8063021	73.96	8063021		8063021	74.13
Sub-Total (A)(1)		22	8066723			8066723	73.99	8066723		8066723	74.17
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		22	8066723			8066723	73.99	8066723		8066723	74.17
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	15	85865			85865	0.79	85865		85865	0.79
(e)	Foreign Portfolio Investors	32	90797			90797	0.83	90797		90797	0.83
(f)	Financial Institutions/ Banks	50	952			952	0.01	952		952	0.01
(g)	Insurance Companies	4	325397			325397	2.98	325397		325397	2.99
Sub-Total (B)(1)		101	503011			503011	4.61	503011		503011	4.62
(2)	Central Government/ State Government(s)/ President of India	2	538			538	0	538		538	0
Sub-Total (B)(2)		2	538			538	0	538		538	0
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	50799	1189788			1189788	10.91	1189788		1189788	10.94
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	4	470116			470116	4.31	470116		470116	4.32
(b)	NBFCs registered with RBI	2	2884			2884	0.03	2884		2884	0.03
(e)	Any Other (specify)	1661	668721			668721	6.13	643302		643302	5.91
Sub-Total (B)(3)		52466	2331509			2331509	21.39	2306090		2306090	21.2
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		52569	2835058			2835058	26.01	2809639		2809639	25.83
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		52591	10901781			10901781	100	10876362		10876362	100
Total (A+B+C)		52591	10901781			10901781	100	10876362		10876362	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				0.03					3702
(d)				73.96					8063021
Sub-Total (A) (1)				73.99					8066723
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				73.99					8066723
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				0.79					85526
(e)				0.83					89846
(f)				0.01					545
(g)				2.98					325392
Sub-Total (B) (1)				4.61					501309
(2)				0					0
Sub-Total (B) (2)				0					0
(3)	Non-institutions								
(a(i))				10.91					1100008
(a(ii))				4.31					470116
(b)				0.03					2884
(c)				6.13					666529
Sub-Total (B) (3)				21.39					2239537
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				26.01					2740846
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					10807569
Total (A+B+C)				100					10807569
Disclosure of notes on shareholding pattern								Textual Information(1)	

Text Block

Textual Information()

**Jubilee Investments & Industries Limited merged with RPG Cellular Investments and Holdings Private Limited (RCIHPL) pursuant to the Scheme of Amalgamation between RPG Communication & Holdings Private Limited, KTL Industrial Finance Company Limited, Kocilim Breweries Private Limited, Yield Investments Private Limited and Jubilee Investments & Industries Limited with RCIHPL duly sanctioned by the Honorable High Court of Calcutta on June 11, 2009. RCIHPL merged with Swallow Associates Limited (SAL) pursuant to the Scheme of Amalgamation and Arrangement between SAL and Blue Niles Holdings Limited, South Asia Electricity Holdings Limited, Kestral Investments Limited, Petrochem International Limited and RCIHPL duly sanctioned by the Honorable High Court of Judicature at Bombay w.e.f. March 27, 2012. Further, SAL has been converted into a Limited Liability Partnership with effect from October 31, 2012 and is known as Swallow Associates LLP. Date of creation/acquisition of beneficial interest is as per the Companies (Significant Beneficial Owners) Rules, 2018 as notified.

Individuals/Hindu undivided Family						
Searial No.	1	2	3	4	5	
Name of the Shareholders (I)	Harsh Vardhan Goenka	Anant Vardhan Goenka	Rama Prasad Goenka	Radha Anant Goenka	Mala Goenka	Click here to go back
PAN (II)	AABPG7041G	AENPG5875N	AEFPG4687J	AABPB4737E	ADPPG2821B	Total
No. of fully paid up equity shares held (IV)	2153	1318	211	10	10	3702
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2153	1318	211	10	10	3702
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.02	0.01	0	0	0	0.03
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	2153	1318	211	10	10	3702
Class eg:y						
Total	2153	1318	211	10	10	3702
Total as a % of Total Voting rights	0.02	0.01	0	0	0	0.03
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.02	0.01	0	0	0	0.03
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	2153	1318	211	10	10	3702
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter	Promoter Group	Promoter Group	Promoter Group	Promoter Group	

Any Other (specify)				
Serial No.	15	16	17	
Category	Trusts	Trusts	Trusts	Click here to go back
Name of the Shareholders (I)	Harsh Vardhan Goenka, Trustee of Secura India Trust	Harsh Vardhan Goenka, Trustee of Prism Estates Trust	Anant Vardhan Goenka, Trustee of AVG Family Trust	
PAN (II)	AALTS1766Q	AACTP0626M	AAFTA6761P	Total
No. of the Shareholders (I)	1	1	1	17
No. of fully paid up equity shares held (IV)	1	1	10	8063021
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1	1	10	8063021
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0	0	73.96
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	1	1	10	8063021
Class eg:y				
Total	1	1	10	8063021
Total as a % of Total Voting rights	0	0	0	74.13
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	0	0	73.96
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of Shares pledged or otherwise encumbered (XIII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	1	1	10	8063021
Reason for not providing PAN				
Reason for not providing PAN				
Shareholder type	Promoter Group	Promoter Group	Promoter Group	

Insurance Companies		
Serial No.	1	
Name of the Shareholders (I)	Life Insurance Corporation of India	Click here to go back
PAN (II)	AAACL0582H	Total
No. of fully paid up equity shares held (IV)	288058	288058
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	288058	288058
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.64	2.64
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	288058	288058
Class eg:y		
Total	288058	288058
Total as a % of Total Voting rights	2.65	2.65
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.64	2.64
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	288053	288053
Reason for not providing PAN		
Reason for not providing PAN		

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		
Serial No.	1	
Name of the Shareholders (I)	Chinappa M B	Click here to go back
PAN (II)	ABVPC5804C	Total
No. of fully paid up equity shares held (IV)	251309	251309
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	251309	251309
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.31	2.31
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	251309	251309
Class eg:y		
Total	251309	251309
Total as a % of Total Voting rights	2.31	2.31
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.31	2.31
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	251309	251309
Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Unclaimed or Suspense or Escrow Account	Non-Resident Indian (NRI)	HUF	Trusts	Overseas Corporate Bodies	Director or Director's Relatives	Clearing Members
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category	Category
Name of the Shareholders (I)							
PAN (II)							
No. of the Shareholders (I)	1	522	632	7	4	2	103
No. of fully paid up equity shares held (IV)	25419	28198	49433	7319	99122	3	41173
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	25419	28198	49433	7319	99122	3	41173
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.23	0.26	0.45	0.07	0.91	0	0.38
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	0	28198	49433	7319	99122	3	41173
Class eg:y							
Total	0	28198	49433	7319	99122	3	41173
Total as a % of Total Voting rights	0	0.26	0.45	0.07	0.91	0	0.38
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.23	0.26	0.45	0.07	0.91	0	0.38
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	25419	28095	49433	7319	99019	2	41173
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)					
Serial No.	8	9	10	11	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	LLP	
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category	
Name of the Shareholders (I)		VLS Finance Limited	SMIFS Capital Markets Limited		Click here to go back
PAN (II)		AAACV4183G	AAECS4139D		Total
No. of the Shareholders (I)	382	1	1	8	1661
No. of fully paid up equity shares held (IV)	413343	154419	156500	4711	668721
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	413343	154419	156500	4711	668721
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.79	1.42	1.44	0.04	6.13
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	413343	154419	156500	4711	643302
Class eg:y					
Total	413343	154419	156500	4711	643302
Total as a % of Total Voting rights	3.8	1.42	1.44	0.04	5.91
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.79	1.42	1.44	0.04	6.13
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	411358	154419	156500	4711	666529
Reason for not providing PAN					
Reason for not providing PAN					

Details of Shares which remain unclaimed for Public

Serial No.	Number of shareholders	Outstanding shares held in demat or unclaimed suspense account	voting rights which are frozen	Disclosure of notes on shares which remain unclaimed for public shareholders
1	1	25419	25419	Textual Information(1)

Text Block

Textual Information(1)

As 25419 shares are lying in the Unclaimed Securities Suspense account as on 30.09.2019 out of the 25673 shares transferred therein, and these shares do not carry voting rights as per Regulation 39(4) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (Clause 5A of the erstwhile Listing Agreement), the voting rights have been calculated on the reduced capital.

significant beneficial owners

Sr. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	Harsh Vardhan Goenka	AABPG7041G		India		SWALLOW ASSOCIATES LLP	ACGFS5041R		India		69.92	69.92		No	No	08-02-2019
2	Harsh Vardhan Goenka	AABPG7041G		India		EKTARA ENTERPRISES LLP	AAEFE0412E		India		0	0		No	No	08-02-2019
3	Harsh Vardhan Goenka	AABPG7041G		India		CHATTARPATI APARTMENTS LLP	AAJFC6572C		India		0	0		No	No	08-02-2019
4	Harsh Vardhan Goenka	AABPG7041G		India		VAYU UDAAN AIRCRAFT LLP	AAKFV1891N		India		0	0		No	No	08-02-2019
5	Harsh Vardhan Goenka	AABPG7041G		India		MALABAR COASTAL HOLDINGS LLP	AAWFM1821H		India		0	0		No	No	08-02-2019
6	Harsh Vardhan Goenka	AABPG7041G		India		ATLANTUS DWELLINGS AND INFRASTRUCTURE LLP	AAZFA1431G		India		0	0		No	No	08-02-2019
7	Harsh Vardhan Goenka	AABPG7041G		India		Harsh Vardhan Goenka, Trustee of Monitor Portfolio Trust	AADTM0341H		India		0.15	0.15		No	No	08-02-2019
8	Harsh Vardhan Goenka	AABPG7041G		India		Harsh Vardhan Goenka, Trustee of Stellar Energy Trust	AALTS1767R		India		0	0		No	No	08-02-2019
9	Harsh Vardhan Goenka	AABPG7041G		India		Harsh Vardhan Goenka, Trustee of Crystal India Tech Trust	AABTC3542J		India		0	0		No	No	08-02-2019
10	Harsh Vardhan Goenka	AABPG7041G		India		Harsh Vardhan Goenka, Trustee of Nucleus Life Trust	AABTN5833D		India		0	0		No	No	08-02-2019
11	Harsh Vardhan Goenka	AABPG7041G		India		Harsh Vardhan Goenka, Trustee of Secura India Trust	AALTS1766Q		India		0	0		No	No	08-02-2019
12	Harsh Vardhan Goenka	AABPG7041G		India		Harsh Vardhan Goenka, Trustee of Prism Estates Trust	AACTP0626M		India		0	0		No	No	08-02-2019
13	Harsh Vardhan Goenka	AABPG7041G		India		Mr. Anant Vardhan Goenka, Mr. Harsh Vardhan Goenka, Trustee of AVG Family Trust	AAFTA6761P		India		0	0		No	No	20-06-2019
Total:											70.07	70.07	0			