

(i) (a) Statement showing Shareholding Pattern

Name of the Company:		SUMMIT SECURITIES LIMITED	
Scrip Code, Name of the scrip, class of security:		BSE : 533306 / NSE : SUMMITSEC	
Quarter ended:		31st December, 2012	
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by Promoter / Promoters Group			
Held by public			
Total			
Outstanding convertible securities:-	No. of outstanding securities	As a % of total No. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by Promoter / Promoters Group			
Held by public			
Total			
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by Promoter / Promoters Group			
Held by public			
Total			
Total paid-up capital of the company assuming full conversion of warrants and convertible securities			

Category code	Category of Shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialised form	Total Shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B) (VI)	As a percentage of (A+B+C) (VII)	Number of shares (VIII)	As a percentage (VIII)/(IV)*100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
(A)	Shareholding of Promoter and Promoter Group							
(1)	Indian							
(a)	Individuals / Hindu Undivided Family	4	3682	3682	0.03	0.03	0	0.00
(b)	Central Government / State Governments(s)	0	0	0	0.00	0.00		
(c)	Bodies Corporate	13	7564473	7564473	69.39	69.39		
(d)	Financial Institutions / Banks	0	0	0	0.00	0.00		
(e)	Any Other	1	245455	245455	2.25	2.25		
	Sub-Total (A) (1)	18	7813610	7813610	71.67	71.67	0	0.00
(2)	Foreign							
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	0	0	0	0.00	0.00		
(b)	Bodies Corporate	0	0	0	0.00	0.00		0
(c)	Institutions	0	0	0	0.00	0.00		
(d)	Qualified Foreign Investor	0	0	0	0.00	0.00		
(e)	Any Other (specify)	0	0	0	0.00	0.00		
	Sub-Total (A) (2)	0	0	0	0.00	0.00	0	0
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)	18	7813610	7813610	71.67	71.67	0	0.00
(B)	Public Shareholding							
(1)	Institutions						N.A.	N.A.
(a)	Mutual Funds / UTI	64	88513	86673	0.82	0.82	-	-
(b)	Financial Institutions / Banks	69	1017	460	0.01	0.01	-	-
(c)	Central Government / State Governments(s)	2	538	0	0.00	0.00	-	-
(d)	Venture Capital Funds	0	0	0	0.00	0.00	-	-
(e)	Insurance Companies	8	408855	408850	3.75	3.75	-	-
(f)	Foreign Institutional Investors	64	2599	149	0.02	0.02	-	-
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	-	-
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00	-	-
(i)	Any Other	0	0	0	0.00	0.00	-	-
	Sub-Total (B) (1)	207	501522	496132	4.60	4.60	N.A.	N.A.
(2)	Non-Institutions							
(a)	Bodies Corporate	1106	591994	585598	5.43	5.43	-	-
(b)	Individuals -							
i	Individual shareholders holding nominal share capital upto Rs. 1 lakh	87194	1754135	1620079	16.09	16.09	-	-
ii	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	8	140928	140928	1.30	1.30	-	-
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00	-	-
(d)	Any Other (Trusts)	11	229	229	0.00	0.00	-	-
	(Directors & Relatives)	5	179	78	0.00	0.00	-	-
	(Foreign Company)	5	99184	62	0.91	0.91	-	-
	Sub-total (B) (2)	88329	2886649	2346974	23.73	23.73	-	-
	Total Public Shareholding (B) = (B)(1)+(B)(2)	88536	3088171	2843106	28.33	28.33	N.A.	N.A.
	TOTAL (A)+(B)	88554	10901781	10656716	100.00	100.00	N.A.	N.A.
(C)	Shares held by Custodians and against which Depository Receipts have been issued	0	0	0	0.00	0.00		
	GRAND TOTAL (A)+(B)+(C)	88554	10901781	10656716	100.00	100.00	N.A.	N.A.

S.R.O.S.L.



(f)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Name of the Company : Summit Securities Limited  
Scrip Code : BSE : 533306 / NSE : SUMMITSEC  
31st December, 2012

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of Shares held		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number	As a % of grand total (A)+(B)+(C)	No.	As a percentage (VI) = (V) / (III) * 100	As a % of grand total (A) + (B) + (C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)	(x)	(xi)	(xii)
1	ANANT VARDHAN GOENKA	1,318	0.01		NIL		-	-	-	-	0.01
2	HARSH VARDHAN GOENKA	653	0.01		NIL		-	-	-	-	0.01
3	HARSH VARDHAN GOENKA	1,500	0.01		NIL		-	-	-	-	0.01
4	RAMA PRASAD GOENKA	211	0.00		NIL		-	-	-	-	0.01
5	SURESH MATHEW	245455	2.25		NIL		-	-	-	-	2.25
6	STEL HOLDINGS LIMITED	69,815	0.64		NIL		-	-	-	-	0.64
7	INSTANT HOLDINGS LTD	331168	3.04		NIL		-	-	-	-	3.04
8	INSTANT HOLDINGS LIMITED	4,472	0.04		NIL		-	-	-	-	0.04
9	JUBILEE INVESTMENTS INDUSTRIES LTD	2285	0.02		NIL		-	-	-	-	0.02
10	PETROCHEM INTERNATIONAL LTD**	1,309,600	12.01		NIL		-	-	-	-	12.01
11	PETROCHEM INTERNATIONAL LIMITED**	756,450	6.94		NIL		-	-	-	-	6.94
12	RPG CELLULAR INVESTMENTS & HOLDINGS PVT LTD**	290799	2.67		NIL		-	-	-	-	2.67
13	RPG CELLULAR INVESTMENTS AND HOLDINGS P LTD**	916,513	8.41		NIL		-	-	-	-	8.41
14	SWALLOW ASSOCIATES LTD	239,559	2.20		NIL		-	-	-	-	2.20
15	SWALLOW ASSOCIATES LTD	1,235,669	11.33		NIL		-	-	-	-	11.33
16	SOUTH ASIA ELECTRICITY HOLDINGS LTD**	2,100,000	19.26		NIL		-	-	-	-	19.26
17	CARNIVAL INVESTMENTS LTD	179,708	1.65		NIL		-	-	-	-	1.65
18	CARNIVAL INVESTMENTS LTD	128,435	1.18		NIL		-	-	-	-	1.18
	<b>TOTAL</b>	<b>7,813,610</b>	<b>71.67</b>		<b>NIL</b>		<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>71.67</b>

(\*) The term 'encumbrance' has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

\*\* merged with Swallow Associates Limited vide the Scheme of Arrangement and Amalgamation which became effective on March 27, 2012. Swallow Associates Limited has been converted into a Limited Liability Partnership w.e.f. October 31, 2012 and thereafter is known as "Swallow Associates LLP"

(f)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (i)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	LIFE INSURANCE CORPORATION OF INDIA	297,147	2.73	-	-	-	-	2.73
2	PROGRESSIVE STAR FINANCE PRIVATE LIMITED	156,500	1.44	-	-	-	-	1.44
	<b>TOTAL</b>	<b>453,647</b>	<b>4.17</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>4.17</b>

(f)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (i)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	N.A.							
	<b>TOTAL</b>							

S.R. Das



## (I) (d) Statement showing details of locked-in-shares

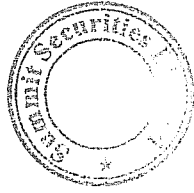
Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) Indicated in statement at para (I)(a) above)	Promoter / Promoter Group / Public
1	PETROCHEM INTERNATIONAL LTD			
2	V K Gupta	1309600	12.01	Promoter
3	Sherad Sinha	200	0.00	Public
4	R C Agarwala	100	0.00	Public
5	V K Anand	100	0.00	Public
6	Suresh Mathew	100	0.00	Public
7	H. N. Singh Rajpoot	100	0.00	Public
8	L V H Rao	100	0.00	Public
9	South Asia Electricity Holdings Ltd.			
10	Petrochem International Limited	2,100,000	19.26	Promoter
11	Rpg Cellular Investments And Holdings P Ltd	700,000	6.42	Promoter
		868,400	7.97	Promoter
	TOTAL	4,978,800	45.66	

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of	Number of Shares	Shares underlying outstanding DRs as a
1	N.A.			
2				
	TOTAL			

## (II) (b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by 'Promoter / Promoter Group' are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding	Number of Shares	Shares underlying outstanding DRs as a
1	N.A.			
2				
	TOTAL			

S. R. Gosh



(III) (a) Statement showing the voting pattern of shareholders, if more than one class of shares / securities is issued by the issuer.

Category code	Category of Shareholder	Number of Voting Rights held in each class of securities			Total Voting Rights (III+IV+V)	Total Voting Rights i.e. (VI)	
		Class X	Class Y	Class Z		As a percentage of (A+B)	As a percentage of (A+B+C)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)
(A)	Shareholding of Promoter and Promoter Group		NA	NA			
(1)	Indian						
(a)	Individuals / Hindu Undivided Family	3682			3682	0.03	0.03
(b)	Central Government / State Governments(s)	0			0	0.00	0.00
(c)	Bodies Corporate	7564473			7564473	69.39	69.39
(d)	Financial Institutions / Banks	0			0	0.00	0.00
(e)	Any Other (Trust)	245455			245455	2.25	2.25
	<b>Sub-Total (A) (1)</b>	<b>7813610</b>	<b>0</b>	<b>0</b>	<b>7813610</b>	<b>71.67</b>	<b>71.67</b>
(2)	Foreign		NA	NA			
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	0			0	0.00	0.00
(b)	Bodies Corporate	0			0	0.00	0.00
(c)	Institutions	0			0	0.00	0.00
(d)	Qualified Foreign Investor	0			0	0.00	0.00
(e)	Any Other (specify)	0			0	0.00	0.00
	<b>Sub-Total (A) (2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
	<b>Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)</b>	<b>7813610</b>	<b>0</b>	<b>0</b>	<b>7813610</b>	<b>71.67</b>	<b>71.67</b>
(B)	Public Shareholding		NA	NA			
(1)	Institutions						
(a)	Mutual Funds / UTI	88513			88513	0.82	0.82
(b)	Financial Institutions / Banks	1017			1017	0.01	0.01
(c)	Central Government / State Governments(s)	538			538	0.00	0.00
(d)	Venture Capital Funds	0			0	0.00	0.00
(e)	Insurance Companies	408855			408855	3.75	3.75
(f)	Foreign Institutional Investors	2599			2599	0.02	0.02
(g)	Foreign Venture Capital Investors	0			0	0.00	0.00
(h)	Qualified Foreign Investor	0			0	0.00	0.00
(i)	Any Other (specify)	0			0	0.00	0.00
	<b>Sub-Total (B) (1)</b>	<b>501522</b>	<b>0</b>	<b>0</b>	<b>501522</b>	<b>4.60</b>	<b>4.60</b>
(2)	Non-Institutions		NA	NA			
(a)	Bodies Corporate	591994			591994	5.43	5.43
(b)	Individuals -						
i	Individual shareholders holding nominal share capital upto Rs. 1 lakh	1754135			1754135	16.09	16.09
ii	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	140928			140928	1.30	1.30
(c)	Qualified Foreign Investor	0			0	0.00	0.00
(d)	Any Other (specify)						
i	Trusts	229			229	0.00	0.00
ii	Directors & their relatives	179			179	0.00	0.00
iii	Foreign Company	99184			99184	0.91	0.91
	<b>Sub-total (B) (2)</b>	<b>2586649</b>	<b>0</b>	<b>0</b>	<b>2586649</b>	<b>23.73</b>	<b>23.73</b>
	<b>Total Public Shareholding (B) = (B)(1)+(B)(2)</b>	<b>3088171</b>	<b>0</b>	<b>0</b>	<b>3088171</b>	<b>28.33</b>	<b>28.33</b>
	<b>TOTAL (A)+(B)</b>	<b>10901781</b>	<b>0</b>	<b>0</b>	<b>10901781</b>	<b>100.00</b>	<b>100.00</b>
(C)	Shares held by Custodians and against which Depository Receipts have been issued		NA	NA	0	0.00	0.00
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>10901781</b>	<b>0</b>	<b>0</b>	<b>10901781</b>	<b>100.00</b>	<b>100.00</b>

S. ROSE

