

SUMMIT SECURITIES LIMITED

Corporate Identification Number: L65921MH1997PLC194571
Registered Office: RPG House, 463, Dr. Annie Besant Road, Worli, Mumbai 400 030
Tel No.: +91-22-25292152/53/54 Fax No: +91-22-25297241
Website : www.summitsecurities.net Email : summitsec@gmail.com

(I) (a) Statement showing Shareholding Pattern

Name of the Company:		SUMMIT SECURITIES LIMITED	
Scrip Code, Name of the scrip, class of security:		BSE : 533306/ NSE : SUMMITSEC	
Quarter ended:		30th Sept,2014	
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by Promoter / Promoters Group	---	---	---
Held by public	---	---	---
Total	---	---	---
Outstanding convertible securities:-	No. of outstanding	As a % of total	As a % of total no.
Held by Promoter / Promoters Group	---	---	---
Held by public	---	---	---
Total	---	---	---
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by Promoter / Promoters Group	---	---	---
Held by public	---	---	---
Total	---	---	---
Total paid-up capital of the company assuming full conversion of warrants and convertible securities		---	

Category code	Category of Shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialised form	Total Shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B) (VI)	As a percentage of (A+B+C) (VII)	Number of shares (VIII)	As a percentage (VIII)/(IV) *100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
(A)	Shareholding of Promoter and Promoter Group							
(1)	Indian							
	Individuals / Hindu Undivided Family	4	3682	3682	0.03	0.03	0	0.00
	Central Government / State Governments(s)	0	0	0	0.00	0.00		
	Bodies Corporate	7	7649479	7649479	70.17	70.17		
	Financial Institutions / Banks	0	0	0	0.00	0.00		
	Any Other(Trusts)	2	245458	245458	2.25	2.25		
	Sub-Total (A) (1)	13	7898619	7898619	72.45	72.45	0	0.00
(2)	Foreign							
	Individuals (Non-Resident Individuals / Foreign Individuals)	0	0	0	0.00	0.00		
	Bodies Corporate	0	0	0	0.00	0.00		0
	Institutions	0	0	0	0.00	0.00		
	Qualified Foreign Investor	0	0	0	0.00	0.00		
	Any Other (specify)	0	0	0	0.00	0.00		
	Sub-Total (A) (2)	0	0	0	0.00	0.00	0	0
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)	13	7898619	7898619	72.45	72.45	0	0.00
(B)	Public Shareholding						N.A.	N.A.
(1)	Institutions							
	Mutual Funds / UTI	61	88,513	86,695	0.81	0.81	-	-
	Financial Institutions / Banks	70	1,018	461	0.01	0.01	-	-
	Central Government / State Governments(s)	2	538	0	0.00	0.00	-	-
	Venture Capital Funds	0	0	0	0.00	0.00	-	-
	Insurance Companies	8	408,855	408,850	3.75	3.75	-	-
	Foreign Institutional Investors	63	2,593	143	0.02	0.02	-	-
	Foreign Venture Capital Investors	0	0	0	0.00	0.00	-	-
	Qualified Foreign Investor	0	0	0	0.00	0.00	-	-
	Any Other	0	0	0	0.00	0.00	-	-
	Sub-Total (B) (1)	204	501517	496149	4.60	4.60	N.A.	N.A.
(2)	Non-Institutions							
	Bodies Corporate	1,015	581,777	568,470	5.34	5.34	-	-
	Individuals -							
	i Individual shareholders holding nominal share capital upto Rs. 1 lakh	76,313	1,532,952	1,403,309	14.06	14.06	-	-
	ii Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	6	287,402	287,402	2.64	2.64	-	-
	(c) Qualified Foreign Investor	0	0	0	0.00	0.00	-	-
	(d) Any Other (Trusts)	11	229	229	0.00	0.00	-	-
	(Directors & Relatives)	2	101	0	0.00	0.00	-	-
	(Foreign Company)	5	99,184	62	0.91	0.91	-	-
	Sub-total (B) (2)	77352	2501645	2259472	22.95	22.95	-	-
	Total Public Shareholding (B) = (B)(1)+(B)(2)	77556	3003162	2755621	27.55	27.55	N.A.	N.A.
	TOTAL (A)+(B)	77569	10901781	10654240	100.00	100.00	N.A.	N.A.
(C)	Shares held by Custodians and against which Depository Receipts have been issued	0	0	0	0.00	0.00		
	GRAND TOTAL (A)+(B)+(C)	77569	10901781	10654240	100.00	100.00	N.A.	N.A.



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(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Name of the Company : Summit Securities Limited
 Scrip Code :
 30th Sept -2014

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)		Details of Shares held		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) (XII)
		Number (III)	As a % of grand total (A)+(B)+(C) (IV)	No. (V)	As a percentage (VI) = (V) / (III)* 100	As a % of grand total (A) + (B) + (C) of sub-clause (I)(a) (VII)	Number of warrants held (VIII)	As a % total number of warrants of the same class (IX)	Number of convertible securities held (X)	
1	ANANT VARDHAN GOENKA	1,318	0.01		NIL		-	-	-	0.01
2	HARSH VARDHAN GOENKA	653	0.01		NIL		-	-	-	0.01
3	HARSH VARDHAN GOENKA	1,500	0.01		NIL		-	-	-	0.01
4	RAMA PRASAD GOENKA	211	0.00		NIL		-	-	-	0.00
5	SURESH MATHEW (Trustee, RIFL Benefit Trust)	245,455	2.25		NIL		-	-	-	2.25
6	HARSH VARDHAN GOENKA (Trustee, Monitor Portfolio Trust)	3	0.00		NIL		-	-	-	0.00
7	CARNI WAL INVESTMENTS LTD	179,708	1.65		NIL		-	-	-	1.65
8	CARNI WAL INVESTMENTS LTD	174,451	1.60		NIL		-	-	-	1.60
9	JUBILEE INVESTMENTS INDUSTRIES LTD*	2,285	0.02		NIL		-	-	-	0.02
10	RPG CELLULAR INVESTMENTS AND HOLDINGS PVT LTD**	290,799	2.67		NIL		-	-	-	2.67
11	STEL HOLDINGS LIMITED	69,815	0.64		NIL		-	-	-	0.64
12	SWALLOW ASSOCIATES LLP	4,387,152	40.24		NIL		-	-	-	40.24
13	SWALLOW ASSOCIATES LLP	2,545,269	23.35		NIL		-	-	-	23.35
	TOTAL	7,898,619	72.45		NIL		-	-	-	72.45

* Jubilee Investments & Industries Limited merged with RPG Cellular Investments and Holdings Private Limited (RCIHPL) pursuant to the Scheme of Amalgamation between RPG Communication & Holdings Private Limited, KTL Industrial Finance Company Limited, Koclim Breweries Private Limited, Yield Investments Private Limited and Jubilee Investments & Industries Limited with RCIHPL duly sanctioned by the Honorable High Court of Calcutta on June 11, 2009. RCIHPL merged with Swallow Associates Limited (SAL) pursuant to the Scheme of Amalgamation and Arrangement between SAL and Blue Niles Holdings Limited, South Asia electricity Holdings Limited, Kestral Investments Limited, Petrochem International Limited and RCIHPL duly sanctioned by the Honorable High Court of Judicature at Bombay w.e.f. March 27, 2012. Further, SAL has been converted into a Limited Liability Partnership with effect from October 31, 2012 and now is known as Swallow Associates LLP.

**RPG Cellular Investments & Holdings Pvt. Ltd. merged with Swallow Associates Limited (SAL) pursuant to the Scheme of Amalgamation and Arrangement between SAL and Blue Niles Holdings Limited, South Asia electricity Holdings Limited, Kestral Investments Limited, Petrochem International Limited and RCIHPL duly sanctioned by the Honorable High Court of Judicature at Bombay w.e.f. March 27, 2012. Further, SAL has been converted into a Limited Liability Partnership with effect from October 31, 2012 and now is known as Swallow Associates LLP.

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	LIFE INSURANCE CORPORATION OF INDIA	297,147	2.73	-	-	-	-	2.73
2	LIFE INSURANCE CORPORATION OF INDIA	4	0.01	-	-	-	-	0.01
3	LIFE INSURANCE CORPORATION OF INDIA	1	0.00	-	-	-	-	0.00
4	GLOBE CAPITAL MARKET LTD	185,163	1.70	-	-	-	-	1.70
5	GLOBE CAPITAL MARKET LTD	650	0.01	-	-	-	-	0.01
6	Muckatira Bhemalali Chinappa	158,209	1.45	-	-	-	-	1.45
7	CHINAPPA M B	31,756	0.29	-	-	-	-	0.29
8	PROGRESSIVE STAR FINANCE PRIVATE LIMITED	156,500	1.44	-	-	-	-	1.44
	TOTAL	829,430	7.62	-	-	-	-	7.62

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities)
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	N.A.							
	TOTAL							

(I)(d) Statement showing details of locked-in-shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage	Promoter / Promoter Group /
1	N.A.			
	TOTAL			

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of Shares	Shares underlying outstanding DRs as a
1	N.A.			
2				
	TOTAL			

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by 'Promoter / Promoter Group' are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR	Number of Shares	Shares underlying outstanding DRs as a
1	N.A.			
2				
	TOTAL			



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(III) (a) Statement showing the voting pattern of shareholders, if more than one class of shares / securities is issued by the issuer.

Category code	Category of Shareholder	Number of Voting Rights held in			Total Voting Rights (III+IV+V)	Total Voting Rights i.e.	
		Class X	Class Y	Class Z		As a percentage of (A+B)	As a percentage of (A+B+C)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)
(A)	and Promoter Group		NA	NA			
(1)	Indian						
	Individuals / Hindu Undivided Family	3682			3682	0.03	0.03
(a)	Central Government / State Governments(s)	0			0	0.00	0.00
(b)							
(c)	Bodies Corporate	7649479			7649479	70.17	70.17
(d)	Financial Institutions / Banks	0			0	0.00	0.00
(e)	Any Other (Trusts)	245458			245458	2.25	2.25
	Sub-Total (A) (1)	7898619	0	0	7898619	72.45	72.45
(2)	Foreign		NA	NA			
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	0			0	0.00	0.00
(b)	Bodies Corporate	0			0	0.00	0.00
(c)	Institutions	0			0	0.00	0.00
(d)	Qualified Foreign Investor	0			0	0.00	0.00
(e)	Any Other (specify)	0			0	0.00	0.00
	Sub-Total (A) (2)	0	0	0	0	0.00	0.00
	Total Shareholding of Promoter and	7898619	0	0	7898619	72.45	72.45
(B)	Public Shareholding		NA	NA			
(1)	Institutions						
(a)	Mutual Funds / UTI	88513			88513	0.81	0.81
(b)	Financial Institutions / Banks	1018			1018	0.01	0.01
(c)	Central Government / State	538			538	0.00	0.00
(d)	Venture Capital Funds	0			0	0.00	0.00
(e)	Insurance Companies	408855			408855	3.75	3.75
(f)	Foreign Institutional Investors	2593			2593	0.02	0.02
(g)	Foreign Venture Capital Investors	0			0	0.00	0.00
(h)	Qualified Foreign Investor	0			0	0.00	0.00
(i)	Any Other (specify)	0			0	0.00	0.00
	Sub-Total (B) (1)	501517	0	0	501517	4.60	4.60
(2)	Non-Institutions		NA	NA			
(a)	Bodies Corporate	581777			581777	5.34	5.34
(b)	Individuals -						
i	Individual shareholders holding nominal share capital upto Rs. 1 lakh	1532952			1532952	14.06	14.06
ii	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	287402			287402	2.64	2.64
(c)	Qualified Foreign Investor	0			0	0.00	0.00
(d)	Any Other (specify)						
i	Trusts	229			229	0.00	0.00
ii	Directors & their relatives	101			101	0.00	0.00
iii	Foreign Company	99184			99184	0.91	0.91
	Sub-total (B) (2)	2501645	0	0	2501645	22.95	22.95
	Total Public Shareholding (B) =	3003162	0	0	3003162	27.55	27.55
	TOTAL (A)+(B)	10901781	0	0	10901781	100.00	100.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued		NA	NA	0	0.00	0.00
	GRAND TOTAL (A)+(B)+(C)	10901781	0	0	10901781	100.00	100.00

