

SUMMIT SECURITIES LIMITED

Corporate Identification Number: L65921MH1997PLC194571
Registered Office: 213, Bezzola Complex, B Wing, 71, Sion-Trombay Road, Chembur, Mumbai - 400071
Tel No.: +91-22-25292152/54/55 Fax No: +91-22-25297423
Website : www.summitsecurities.net Email : summitsec@gmail.com

Format of holding of specified securities pursuant to SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

- 1 **Name of Listed Entity:** : SUMMIT SECURITIES LIMITED
2 **Scrip Code/Name of Scrip/Class of Security** : 533306/SUMMIT SECURITIES LIMITED/EQUITY
3 **Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)** : Reg. 31(1)(b)
a. **If under 31(1)(b) then indicate the report for Quarter ending** : September 30, 2018
b. **If under 31(1)(c) then indicate date of allotment/extinguishment** : N.A.
4 **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?	-	No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	-	No
4	Whether the Listed Entity has any shares in locked-in?	-	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	-	No
6	Whether company has equity shares with differential voting rights?	-	No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No. of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

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Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form		
																			(IX)	
								No of Voting Rights			Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares			
								Class eg: X	Class eg: Y	Total									(a)	(b)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)		
(A)	Promoter & Promoter Group	22	8066713	0	0	8066713	73.99	8066713	0	8066713	74.17	0	73.99	0	0	0	0	8066713		
(B)	Public	54558	2835068	0	0	2835068	26.01	2809634	0	2809634	25.83	0	26.01	0	0	NA	NA	2634687		
(C)	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0		
(C1)	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0		
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0		
	Total	54580	10901781	0	0	10901781	100.00	10876347	0	10876347	100.00	0	100.00	0	0	0	0	10701400		

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form				
									(X)					(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)			
									No of Voting Rights						Total as a % of Total Voting rights			As a % of Total Shares		As a % of Total shares held	
									Class X	Class Y	Total							(a)	(b)	(a)	(b)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)	Class X	Class Y	Total	(X)	(XI) = (VII)+(X) as a % of A+B+C2	(a)	(b)	(a)	(b)	(XIV)				
(1)	Indian																				
(a)	Individuals/Hindu undivided Family																				
i	Harsh Vardhan Goenka	AABPG7041G	5	3702	0	0	3702	0.03	3702	0	3702	0.03	0	0	0	0	0	3702			
ii	Anant Vardhan Goenka	AENPG5875N	1	1318	0	0	1318	0.01	1318	0	1318	0.01	0	0	0	0	0	1318			
iii	Rama Prasad Goenka	AEFPG4687J	1	211	0	0	211	0.00	211	0	211	0.00	0	0	0	0	0	211			
iv	Radha Anant Goenka	AABPB4737E	1	10	0	0	10	0.00	10	0	10	0.00	0	0	0	0	0	10			
v	Mala Goenka	ADPPG2821B	1	10	0	0	10	0.00	10	0	10	0.00	0	0	0	0	0	10			
(b)	Central Government/ State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0			
(c)	Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0			
(d)	Any Other (specify)		17	8063011	0	0	8063011	73.96	8063011	0	8063011	74.13	0	0	0	0	0	8063011			
(d-1)	Bodies Corporate		11	8046849	0	0	8046849	73.81	8046849	0	8046849	73.98	0	0	0	0	0	8046849			
i	SWALLOW ASSOCIATES LLP	ACGFS041R	1	7329731	0	0	7329731	67.23	7329731	0	7329731	67.39	0	0	0	0	0	7329731			
ii	CARNIVAL INVESTMENTS LTD	AABCC0206A	1	354159	0	0	354159	3.25	354159	0	354159	3.26	0	0	0	0	0	354159			
iii	RPG CELLULAR INVESTMENTS AND HOLDINGS PVT LTD	AACCR1550M	1	290799	0	0	290799	2.67	290799	0	290799	2.67	0	0	0	0	0	290799			
iv	STEL HOLDINGS LIMITED	AADCS3332H	1	69815	0	0	69815	0.64	69815	0	69815	0.64	0	0	0	0	0	69815			
v	JUBILEE INVESTMENTS INDUSTRIES LTD	AAACR5115J	1	2285	0	0	2285	0.02	2285	0	2285	0.02	0	0	0	0	0	2285			
vi	EKTARA ENTERPRISES LLP	AAEFE0412E	1	10	0	0	10	0.00	10	0	10	0.00	0	0	0	0	0	10			
vii	CHATTARPATI APARTMENTS LLP	AAJFC6572C	1	10	0	0	10	0.00	10	0	10	0.00	0	0	0	0	0	10			
viii	VAYU UDAAN AIRCRAFT LLP	AAKFV1891N	1	10	0	0	10	0.00	10	0	10	0.00	0	0	0	0	0	10			
ix	SOFREAL MERCANTRADE PVT LTD	AASCS1973M	1	10	0	0	10	0.00	10	0	10	0.00	0	0	0	0	0	10			
x	MALABAR COASTAL HOLDINGS LLP	AAWFM1821H	1	10	0	0	10	0.00	10	0	10	0.00	0	0	0	0	0	10			
xi	ATLANTUS DWELLINGS AND INFRASTRUCTURE LLP	AAZFA1431G	1	10	0	0	10	0.00	10	0	10	0.00	0	0	0	0	0	10			
(d-2)	Trust		6	16162	0	0	16162	0.15	16162	0	16162	0.15	0	0	0	0	0	16162			
i	Harsh Vardhan Goenka, Trustee of Monitor Portfolio Trust +	AADTM0341H	1	16157	0	0	16157	0.15	16157	0	16157	0.15	0	0	0	0	0	16157			
ii	Harsh Vardhan Goenka, Trustee of Stellar Energy Trust ++	AALTS1767R	1	1	0	0	1	0.00	1	0	1	0.00	0	0	0	0	0	1			
iii	Harsh Vardhan Goenka, Trustee of Crystal India Tech Trust +++	AABTC3542J	1	1	0	0	1	0.00	1	0	1	0.00	0	0	0	0	0	1			
iv	Harsh Vardhan Goenka, Trustee of Nucleus Life Trust ++++	AABTN5833D	1	1	0	0	1	0.00	1	0	1	0.00	0	0	0	0	0	1			
v	Harsh Vardhan Goenka, Trustee of Secura India Trust +++++	AALTS1766Q	1	1	0	0	1	0.00	1	0	1	0.00	0	0	0	0	0	1			
vi	Harsh Vardhan Goenka, Trustee of Prism Estates Trust ++++++	AACTP0626M	1	1	0	0	1	0.00	1	0	1	0.00	0	0	0	0	0	1			
	Sub-Total (A1)		22	8066713	0	0	8066713	73.99	8066713	0	8066713	74.17	0	0.00	0	0.00	0	8066713			
(2)	Foreign																				
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0			
(b)	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0			
(c)	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0			
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0			
(e)	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0			
	Sub-Total (A2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0			
	Total Shareholding of Promoter and Promoter Group (A)=(A1)+(A2)		22	8066713	0	0	8066713	73.99	8066713	0	8066713	74.17	0	0.00	0	0.00	0	8066713			

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.: NIL

Note:

* RPG Cellular Investments & Holdings Pvt. Ltd. merged with Swallow Associates Limited (SAL) pursuant to the Scheme of Amalgamation and Arrangement between SAL and Blue Niles Holdings Limited, South Asia Electricity Holdings Limited, Kestral Investments Limited, Petrochem International Limited and RCIHPL duly sanctioned by the Honorable High Court of Judicature at Bombay w.e.f. March 27, 2012. Further, SAL has been converted into a Limited Liability Partnership with effect from October 31, 2012 and is known as Swallow Associates LLP.

** Jubilee Investments & Industries Limited merged with RPG Cellular Investments and Holdings Private Limited (RCIHPL) pursuant to the Scheme of Amalgamation between RPG Communication & Holdings Private Limited, KTL Industrial Finance Company Limited, Koclim Breweries Private Limited, Yield Investments Private Limited and Jubilee Investments & Industries Limited with RCIHPL duly sanctioned by the Honorable High Court of Calcutta on June 11, 2009. RCIHPL merged with Swallow Associates Limited (SAL) pursuant to the Scheme of Amalgamation and Arrangement between SAL and Blue Niles Holdings Limited, South Asia Electricity Holdings Limited, Kestral Investments Limited, Petrochem International Limited and RCIHPL duly sanctioned by the Honorable High Court of Judicature at Bombay w.e.f. March 27, 2012. Further, SAL has been converted into a Limited Liability Partnership with effect from October 31, 2012 and is known as Swallow Associates LLP.

+ Mr. Harsh Vardhan Goenka is holding shares as a Trustee of Monitor Portfolio Trust.

++ Mr. Harsh Vardhan Goenka is holding shares as a Trustee of Stellar Energy Trust.

+++ Mr. Harsh Vardhan Goenka is holding shares as a Trustee of Crystal India Tech Trust.

++++ Mr. Harsh Vardhan Goenka is holding shares as a Trustee of Nucleus Life Trust.

+++++ Mr. Harsh Vardhan Goenka is holding shares as a Trustee of Secura India Trust.

+++++ Mr. Harsh Vardhan Goenka is holding shares as a Trustee of Prism Estates Trust.

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Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise		Number of equity shares held in dematerialized form			
								(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)		
								No of Voting Rights							Total as a % of Total Voting rights	No.		As a % of total Shares	No.	As a % of
								Class X	Class Y	Total										
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV+V+VI)	As a % of (A+B+C2) (VIII)													
(1) Institutions:																				
(a) Mutual Funds/UTI		15	85865	0	0	85865	0.79	85865	0	85865	0.79	0	0.79	0	0.00	NA		85526		
(b) Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0		
(c) Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0		
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0		
(e) Foreign Portfolio Investors		31	958	0	0	958	0.01	958	0	958	0.01	0	0.01	0	0.00	NA		7		
(f) Financial Institutions/ Banks		53	2446	0	0	2446	0.02	2446	0	2446	0.02	0	0.02	0	0.00	NA		2010		
(g) Insurance Companies		6	334491	0	0	334491	3.07	334491	0	334491	3.08	0	3.07	0	0.00	NA		334486		
(g-1) Life Insurance Corporation of India	AAACL0582H	1	297152	0	0	297152	2.73	297152	0	297152	2.73	0	2.73	0	0.00	NA		297147		
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0		
(i) Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0		
Sub-Total (B)(1)		105	423760	0	0	423760	3.89	423760	0	423760	3.90	0	3.89	0	0.00	NA		422029		
(2) Central Government/ State Government(s)/ President of India		2	538	0	0	538	0.00	538	0	538	0.00	0	0.00	0	0.00	NA		0		
Sub-Total (B)(2)		2	538	0	0	538	0.00	538	0	538	0.00	0	0.00	0	0.00	NA		0		
(3) Non-institutions																				
(a) Individuals -		52705	1561393	0	0	1561393	14.32	1561393	0	1561393	14.36	0	14.32	0	0.00	NA		1464618		
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		52701	1189603	0	0	1189603	10.91	1189603	0	1189603	10.94	0	10.91	0	0.00	NA		1092828		
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		4	371790	0	0	371790	3.41	371790	0	371790	3.42	0	3.41	0	0.00	NA		371790		
i Chinappa M B	ABVPC5804C	1	161909	0	0	161909	1.49	161909	0	161909	1.49	0	1.49	0	0.00	NA		161909		
(b) NBFCs registered with RBI		3	2,879	0	0	2879	0.03	2879	0	2879	0.03	0	0.03	0	0.00	NA		2879		
(c) Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0		
(d) Overseas Depositories (holding DRs)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0		
(e) Any Other (specify)		1743	846498	0	0	846498	7.76	821064	0	821064	7.55	0	7.76	0	0.00	NA		745161		
i Unclaimed Securities Suspense Account		1	25434	0	0	25434	0.23	0	0	0	0.00	0	0.23	0	0.00	NA		25434		
ii Non-Resident Indian (NRI)		513	30448	0	0	30448	0.28	30448	0	30448	0.28	0	0.28	0	0.00	NA		30351		
iii HUF		635	44829	0	0	44829	0.41	44829	0	44829	0.41	0	0.41	0	0.00	NA		44829		
iv Trusts		7	7319	0	0	7319	0.07	7319	0	7319	0.07	0	0.07	0	0.00	NA		7319		
v Foreign Companies		4	99122	0	0	99122	0.91	99122	0	99122	0.91	0	0.91	0	0.00	NA		0		
vi Directors & Relatives		2	101	0	0	101	0.00	101	0	101	0.00	0	0.00	0	0.00	NA		0		
vi Clearing Members		121	51557	0	0	51557	0.47	51557	0	51557	0.47	0	0.47	0	0.00	NA		51557		
vii Bodies Corporate		442	582826	0	0	582826	5.35	582826	0	582826	5.36	0	5.35	0	0.00	NA		580809		
(vi-1) VLS Finance Limited	AAACV4183G	1	172488	0	0	172488	1.58	172488	0	172488	1.59	0	1.58	0	0.00	NA		172488		
(vi-2) SMIFS Capital Markets Limited	AAECS4139D	1	156500	0	0	156500	1.44	156500	0	156500	1.44	0	1.44	0	0.00	NA		156500		
(vi-3) Reliance Financial Limited	AADCR0887N	1	133500	0	0	133500	1.22	133500	0	133500	1.23	0	1.22	0	0.00	NA		133500		
ix Limited Liability Partnership - LLP		8	4782	0	0	4782	0.04	4782	0	4782	0.04	0	0.04	0	0.00	NA		4782		
ix Others		10	80	0	0	80	0.00	80	0	80	0.00	0	0.00	0	0.00	NA		80		
Sub-Total (B)(3)		54451	2410770	0	0	2410770	22.11	2385336	0	2385336	21.93	0	22.11	0	0.00	NA		2212658		
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		54558	2835068	0	0	2835068	26.01	2809634	0	2809634	25.83	0	26.01	0	0.00	NA		2634687		

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): NIL

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

As 25434 shares are lying in the Unclaimed Securities Suspense account as on 30.09.2018 out of the 25673 shares transferred therein, and these shares do not carry voting rights as per Regulation 39(4) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (Clause 5A of the erstwhile Listing Agreement), the voting rights have been calculated on the reduced capital.

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form																			
																			(I)	(II)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C 2) (VIII)	(IX)			(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)		(XIII)		(XIV) (Not Applicable)	
																											No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (not applicable)		As a % of total shares held (Not applicable)
																											Class X	Class Y	Total								
(1)	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0																			
(a)	Name of DR Holder (if available)																																				
(i)	abc,....																	NA																			
(ii)	efg,....																	NA																			
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0																			
(a)	Name (abc...																	NA																			
Total Non-Promoter- Non Public Shareholding (C)=			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			NA	0																			