

| General information about company | |
|--|---------------------------|
| Scrip code | 533306 |
| NSE Symbol | SUMMITSEC |
| MSEI Symbol | NOTLISTED |
| ISIN | INE519C01017 |
| Name of the company | SUMMIT SECURITIES LIMITED |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 30-09-2021 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |
| Whether the listed entity is Public Sector Undertaking (PSU)? | No |

Declaration

| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter-Non Public |
|---------|--|--------|-----------------------------|--------------------|-------------------------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No | No | No | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No | No | No | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No | No | No | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | No | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No |
| 8 | Whether the listed entity has any significant beneficial owner? | Yes | | | |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | Total as a % of (A+B+C) |
|--------------|--------------------------------|----------------------------|--|--|---|--|---|---|------------|----------|-------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| (A) | Promoter & Promoter Group | 23 | 8137866 | | | 8137866 | 74.65 | 8137866 | | 8137866 | 74.65 |
| (B) | Public | 49186 | 2763915 | | | 2763915 | 25.35 | 2763915 | | 2763915 | 25.35 |
| (C) | Non Promoter-Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 49209 | 10901781 | | | 10901781 | 100 | 10901781 | | 10901781 | 100 |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--------------|--------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| (A) | Promoter & Promoter Group | | | | 74.65 | | | | | 8137866 |
| (B) | Public | | | | 25.35 | | | | | 2672155 |
| (C) | Non Promoter-Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | 100 | | | | | 10810021 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | Total as a % of Total Voting rights |
|--|---|----------------------------|--|--|---|--|---|---|------------|---------|-------------------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 5 | 19860 | | | 19860 | 0.18 | 19860 | | 19860 | 0.18 |
| (d) | Any Other (specify) | 18 | 8118006 | | | 8118006 | 74.46 | 8118006 | | 8118006 | 74.46 |
| Sub-Total (A)(1) | | 23 | 8137866 | | | 8137866 | 74.65 | 8137866 | | 8137866 | 74.65 |
| (2) | Foreign | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | 23 | 8137866 | | | 8137866 | 74.65 | 8137866 | | 8137866 | 74.65 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (a) | Mutual Funds | 11 | 345 | | | 345 | 0 | 345 | | 345 | 0 |
| (e) | Foreign Portfolio Investors | 34 | 101262 | | | 101262 | 0.93 | 101262 | | 101262 | 0.93 |
| (f) | Financial Institutions/ Banks | 49 | 897 | | | 897 | 0.01 | 897 | | 897 | 0.01 |
| (g) | Insurance Companies | 3 | 63781 | | | 63781 | 0.59 | 63781 | | 63781 | 0.59 |
| (i) | Any Other (specify) | 3 | 67 | | | 67 | 0 | 67 | | 67 | 0 |
| Sub-Total (B)(1) | | 100 | 166352 | | | 166352 | 1.53 | 166352 | | 166352 | 1.53 |
| (2) | Central Government/ State Government(s)/ President of India | 2 | 538 | | | 538 | 0 | 538 | | 538 | 0 |
| Sub-Total (B)(2) | | 2 | 538 | | | 538 | 0 | 538 | | 538 | 0 |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 47490 | 1310739 | | | 1310739 | 12.02 | 1310739 | | 1310739 | 12.02 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 9 | 649333 | | | 649333 | 5.96 | 649333 | | 649333 | 5.96 |
| (b) | NBFCs registered with RBI | 1 | 10 | | | 10 | 0 | 10 | | 10 | 0 |
| (e) | Any Other (specify) | 1584 | 636943 | | | 636943 | 5.84 | 636943 | | 636943 | 5.84 |
| Sub-Total (B)(3) | | 49084 | 2597025 | | | 2597025 | 23.82 | 2597025 | | 2597025 | 23.82 |
| Total Public Shareholding | | 49186 | 2763915 | | | 2763915 | 25.35 | 2763915 | | 2763915 | 25.35 |

| | | | | | | | | | | | |
|----------------------------------|---|-------|----------|--|--|----------|-----|----------|--|----------|-----|
| (B)=(B)(1)+ (B)(2)+(B) (3) | | | | | | | | | | | |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | |
| Total (A+B+C2) | | 49209 | 10901781 | | | 10901781 | 100 | 10901781 | | 10901781 | 100 |
| Total (A+B+C) | | 49209 | 10901781 | | | 10901781 | 100 | 10901781 | | 10901781 | 100 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | |
| (1) | Indian | | | | | | | | |
| (a) | | | | 0.18 | | | | | 19860 |
| (d) | | | | 74.46 | | | | | 8118006 |
| Sub-Total (A) (1) | | | | 74.65 | | | | | 8137866 |
| (2) | Foreign | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | | | 74.65 | | | | | 8137866 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | |
| (1) | Institutions | | | | | | | | |
| (a) | | | | 0 | | | | | 73 |
| (e) | | | | 0.93 | | | | | 100311 |
| (f) | | | | 0.01 | | | | | 490 |
| (g) | | | | 0.59 | | | | | 63776 |
| (i) | | | | 0 | | | | | 0 |
| Sub-Total (B) (1) | | | | 1.53 | | | | | 164650 |
| (2) | | | | 0 | | | | | 0 |
| Sub-Total (B) (2) | | | | 0 | | | | | 0 |
| (3) | Non-institutions | | | | | | | | |
| (a(i)) | | | | 12.02 | | | | | 1223411 |
| (a(ii)) | | | | 5.96 | | | | | 649333 |
| (b) | | | | 0 | | | | | 10 |
| (e) | | | | 5.84 | | | | | 634751 |
| Sub-Total (B) (3) | | | | 23.82 | | | | | 2507505 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | | | 25.35 | | | | | 2672155 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | |
| Total (A+B+C2) | | | | 100 | | | | | 10810021 |
| Total (A+B+C) | | | | 100 | | | | | 10810021 |
| Disclosure of notes on shareholding pattern | | | | | | | | Textual Information(1) | |

Text Block

Textual Information()

*Jubilee Investments & Industries Limited merged with RPG Cellular Investments and Holdings Private Limited (RCIHPL) pursuant to the Scheme of Amalgamation between RPG Communication & Holdings Private Limited, KTL Industrial Finance Company Limited, Kocilim Breweries Private Limited, Yield Investments Private Limited and Jubilee Investments & Industries Limited with RCIHPL duly sanctioned by the Honorable High Court of Calcutta on June 11, 2009. RCIHPL merged with Swallow Associates Limited (SAL) pursuant to the Scheme of Amalgamation and Arrangement between SAL and Blue Niles Holdings Limited, South Asia Electricity Holdings Limited, Kestral Investments Limited, Petrochem International Limited and RCIHPL duly sanctioned by the Honorable High Court of Judicature at Bombay w.e.f. March 27, 2012. Further, SAL has been converted into a Limited Liability Partnership with effect from October 31, 2012 and is known as Swallow Associates LLP. Date of creation/acquisition of beneficial interest is as per the Companies (Significant Beneficial Owners) Rules, 2018 as notified

| Individuals/Hindu undivided Family | | | | | | |
|--|----------------------|----------------------|--------------------|-------------|--------------|---------------------------------------|
| Serial No. | 1 | 2 | 3 | 4 | 5 | |
| Name of the Shareholders (I) | HARSH VARDHAN GOENKA | ANANT VARDHAN GOENKA | RAMA PRASAD GOENKA | MALA GOENKA | RADHA GOENKA | Click here to go back |
| PAN (II) | AABPG7041G | AENPG5875N | AEFPG4687J | ADPPG2821B | AABPB4737E | Total |
| No. of fully paid up equity shares held (IV) | 18311 | 1318 | 211 | 10 | 10 | 19860 |
| No. Of Partly paid-up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 18311 | 1318 | 211 | 10 | 10 | 19860 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0.17 | 0.01 | 0 | 0 | 0 | 0.18 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | |
| Class eg:X | 18311 | 1318 | 211 | 10 | 10 | 19860 |
| Class eg:y | | | | | | |
| Total | 18311 | 1318 | 211 | 10 | 10 | 19860 |
| Total as a % of Total Voting rights | 0.17 | 0.01 | 0 | 0 | 0 | 0.18 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 0.17 | 0.01 | 0 | 0 | 0 | 0.18 |
| Number of Locked in shares (XII) | | | | | | |
| No. (a) | | | | | | |
| As a % of total Shares held (b) | | | | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | | | |
| No. (a) | | | | | | |
| As a % of total Shares held (b) | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 18311 | 1318 | 211 | 10 | 10 | 19860 |
| Reason for not providing PAN | | | | | | |

| | | | | | | |
|------------------------------|----------|----------------|----------------|----------------|----------------|--|
| Reason for not providing PAN | | | | | | |
| Shareholder type | Promoter | Promoter Group | Promoter Group | Promoter Group | Promoter Group | |

| Any Other (specify) | | | | | | | |
|--|------------------------|---------------------------|-----------------------|--------------------------------------|------------------------|----------------------------|-------------------------|
| Serial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| Category | Bodies Corporate | Bodies Corporate | Bodies Corporate | Bodies Corporate | Bodies Corporate | Bodies Corporate | Bodies Corporate |
| Name of the Shareholders (I) | SWALLOW ASSOCIATES LLP | CARNI WAL INVESTMENTS LTD | STEL HOLDINGS LIMITED | JUBILEE INVESTMENTS INDUSTRIES LTD * | EKTARA ENTERPRISES LLP | CHATTARPATI APARTMENTS LLP | VAYU UDAAN AIRCRAFT LLP |
| PAN (II) | ACGFS5041R | AABCC0206A | AADCS3332H | AAACR5115J | AAEFE0412E | AAJFC6572C | AAKFV1891N |
| No. of the Shareholders (I) | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| No. of fully paid up equity shares held (IV) | 7691643 | 354159 | 69815 | 2285 | 10 | 10 | 10 |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 7691643 | 354159 | 69815 | 2285 | 10 | 10 | 10 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 70.55 | 3.25 | 0.64 | 0.02 | 0 | 0 | 0 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | | |
| Class eg: X | 7691643 | 354159 | 69815 | 2285 | 10 | 10 | 10 |
| Class eg:y | | | | | | | |
| Total | 7691643 | 354159 | 69815 | 2285 | 10 | 10 | 10 |
| Total as a % of Total Voting rights | 70.55 | 3.25 | 0.64 | 0.02 | 0 | 0 | 0 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 70.55 | 3.25 | 0.64 | 0.02 | 0 | 0 | 0 |

| Any Other (specify) | | | | | | | |
|---|-----------------------------|------------------------------|---|---|---|---|--|
| Serial No. | 8 | 9 | 10 | 11 | 12 | 13 | 14 |
| Category | Bodies Corporate | Bodies Corporate | Bodies Corporate | Trusts | Trusts | Trusts | Trusts |
| Name of the Shareholders (I) | SOFREAL MERCANTRADE PVT LTD | MALABAR COASTAL HOLDINGS LLP | ATLANTUS DWELLINGS AND INFRASTRUCTURE LLP | HARSH VARDHAN GOENKA, TRUSTEE OF STELLAR ENERGY TRUST | HARSH VARDHAN GOENKA, TRUSTEE OF NUCLEUS LIFE TRUST | HARSH VARDHAN GOENKA, TRUSTEE OF SECURA INDIA TRUST | HARSH VARDHAN GOENKA, TRUSTEE OF PRISM TRUST |
| PAN (II) | AASCS1973M | AAWFM1821H | AAZFA1431G | AALTS1767R | AABTN5833D | AALTS1766Q | AACTP0626M |
| No. of the Shareholders (I) | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| No. of fully paid up equity shares held (IV) | 10 | 10 | 10 | 1 | 1 | 1 | 1 |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 10 | 10 | 10 | 1 | 1 | 1 | 1 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | | |
| Class eg: X | 10 | 10 | 10 | 1 | 1 | 1 | 1 |
| Class eg:y | | | | | | | |
| Total | 10 | 10 | 10 | 1 | 1 | 1 | 1 |
| Total as a % of Total Voting rights | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| Any Other (specify) | | | | | |
|--|---|--|---|--|-----------------------|
| Serial No. | 15 | 16 | 17 | 18 | |
| Category | Trusts | Trusts | Trusts | Trusts | Click here to go back |
| Name of the Shareholders (I) | ANANT VARDHAN GOENKA, TRUSTEE OF AVG FAMILY TRUST | ANANT VARDHAN GOENKA, TRUSTEE OF RG FAMILY TRUST | HARSH VARDHAN GOENKA, TRUSTEE OF NAVYA GOENKA TRUST | HARSH VARDHAN GOENKA, TRUSTEE OF ISHAAN GOENKA TRUST | |
| PAN (II) | AAFTA6761P | AACTR9923K | AADTN1486G | AABTI6917K | Total |
| No. of the Shareholders (I) | 1 | 1 | 1 | 1 | 18 |
| No. of fully paid up equity shares held (IV) | 10 | 10 | 10 | 10 | 8118006 |
| No. Of Partly paid-up equity shares held (V) | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 10 | 10 | 10 | 10 | 8118006 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0 | 0 | 0 | 0 | 74.46 |
| Number of Voting Rights held in each class of securities (IX) | | | | | |
| Class eg: X | 10 | 10 | 10 | 10 | 8118006 |
| Class eg:y | | | | | |
| Total | 10 | 10 | 10 | 10 | 8118006 |
| Total as a % of Total Voting rights | 0 | 0 | 0 | 0 | 74.46 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 0 | 0 | 0 | 0 | 74.46 |
| Number of Locked in shares (XII) | | | | | |
| No. (a) | | | | | |
| As a % of total Shares held (b) | | | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | | |
| No. (a) | | | | | |

| | | | | | |
|---|----------------|----------------|----------------|----------------|---------|
| As a % of total Shares held (b) | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 10 | 10 | 10 | 10 | 8118006 |
| Reason for not providing PAN | | | | | |
| Reason for not providing PAN | | | | | |
| Shareholder type | Promoter Group | Promoter Group | Promoter Group | Promoter Group | |

| Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | | | |
|--|-----------------------------|---------------|---------------------------------------|
| Serial No. | 1 | 2 | |
| Name of the Shareholders (I) | Chinappa Muckatira Bhemaiah | Seetha Kumari | Click here to go back |
| PAN (II) | ABVPC5804C | APFPS2411B | Total |
| No. of fully paid up equity shares held (IV) | 218658 | 129378 | 348036 |
| No. Of Partly paid-up equity shares held (V) | | | |
| No. Of shares underlying Depository Receipts (VI) | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 218658 | 129378 | 348036 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 2.01 | 1.19 | 3.19 |
| Number of Voting Rights held in each class of securities (IX) | | | |
| Class eg: X | 218658 | 129378 | 348036 |
| Class eg:y | | | |
| Total | 218658 | 129378 | 348036 |
| Total as a % of Total Voting rights | 2.01 | 1.19 | 3.19 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 2.01 | 1.19 | 3.19 |
| Number of Locked in shares (XII) | | | |
| No. (a) | | | |
| As a % of total Shares held (b) | | | |
| Number of equity shares held in dematerialized form (XIV) | 218658 | 129378 | 348036 |
| Reason for not providing PAN | | | |
| Reason for not providing PAN | | | |

| Any Other (specify) | | | | | | | |
|--|----------|----------|---------------------------|---------------------------|----------------------------------|----------|------------------|
| Serial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| Category | Trusts | HUF | Overseas Corporate Bodies | Non-Resident Indian (NRI) | Director or Director's Relatives | LLP | Clearing Members |
| Category / More than 1 percentage | Category | Category | Category | Category | Category | Category | Category |
| Name of the Shareholders (I) | | | | | | | |
| PAN (II) | | | | | | | |
| No. of the Shareholders (I) | 6 | 623 | 4 | 490 | 3 | 7 | 31 |
| No. of fully paid up equity shares held (IV) | 9444 | 62293 | 99122 | 38814 | 4 | 4530 | 7031 |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 9444 | 62293 | 99122 | 38814 | 4 | 4530 | 7031 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0.09 | 0.57 | 0.91 | 0.36 | 0 | 0.04 | 0.06 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | | |
| Class eg: X | 9444 | 62293 | 99122 | 38814 | 4 | 4530 | 7031 |
| Class eg:y | | | | | | | |
| Total | 9444 | 62293 | 99122 | 38814 | 4 | 4530 | 7031 |
| Total as a % of Total Voting rights | 0.09 | 0.57 | 0.91 | 0.36 | 0 | 0.04 | 0.06 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 0.09 | 0.57 | 0.91 | 0.36 | 0 | 0.04 | 0.06 |
| Number of Locked in shares (XII) | | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 9444 | 62293 | 99019 | 38709 | 3 | 4530 | 7031 |

| Reason for not providing PAN | | | | | | | |
|------------------------------|--|--|--|--|--|--|--|
| Reason for not providing PAN | | | | | | | |

| Any Other (specify) | | | | |
|--|------------------|--|---|---------------------------------------|
| Serial No. | 8 | 9 | 10 | |
| Category | Bodies Corporate | Bodies Corporate | Unclaimed or Suspense or Escrow Account | |
| Category / More than 1 percentage | Category | More than 1 percentage of shareholding | Category | |
| Name of the Shareholders (I) | | Smifs Capital Markets Ltd. | | Click here to go back |
| PAN (II) | | AAECS4139D | | Total |
| No. of the Shareholders (I) | 419 | 1 | 1 | 1584 |
| No. of fully paid up equity shares held (IV) | 390296 | 156500 | 25409 | 636943 |
| No. Of Partly paid-up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 390296 | 156500 | 25409 | 636943 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 3.58 | 1.44 | 0.23 | 5.84 |
| Number of Voting Rights held in each class of securities (IX) | | | | |
| Class eg: X | 390296 | 156500 | 25409 | 636943 |
| Class eg:y | | | | |
| Total | 390296 | 156500 | 25409 | 636943 |
| Total as a % of Total Voting rights | 3.58 | 1.44 | 0.23 | 5.84 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 3.58 | 1.44 | 0.23 | 5.84 |
| Number of Locked in shares (XII) | | | | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |
| Number of equity shares held in dematerialized form (XIV) | 388313 | 156500 | 25409 | 634751 |

| Reason for not providing PAN | | | | |
|------------------------------|--|--|--|--|
| Reason for not providing PAN | | | | |

Details of Shares which remain unclaimed for Public

| Serial No. | Number of shareholders | Outstanding shares held in demat or unclaimed suspense account | voting rights which are frozen | Disclosure of notes on shares which remain unclaimed for public shareholders |
|------------|------------------------|--|--------------------------------|--|
| 1 | 1 | 25409 | 25409 | Textual Information(1) |

Text Block

Textual Information(1)

As 25409 shares are lying in the Unclaimed Securities Suspense account as on 30.09.2021 out of the 25673 shares transferred therein, and these shares do not carry voting rights as per Regulation 39(4) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (Clause 5A of the erstwhile Listing Agreement), the voting rights on such Unclaimed Securities shall remain frozen till the rightful owner of such shares claims the share.

significant beneficial owners

| Details of the SBO | | | | | Details of the registered owner | | | | | Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: | | | | |
|----------------------|------------|--|-------------|---|---|------------|--|-------------|---|---|---------------|--|---------------------|-----------------------------------|
| Name | PAN | Passport No. in case of a foreign national | Nationality | Nationality (Applicable in case of Any other is selected) | Name | PAN | Passport No. in case of a foreign national | Nationality | Nationality (Applicable in case of Any other is selected) | Whether by virtue of: | | | | |
| | | | | | | | | | | Shares | Voting rights | Rights on distributable dividend or any other distribution | Exercise of control | Exercise of significant influence |
| Harsh Vardhan Goenka | AABPG7041G | | India | | Swallow Associates LLP | ACGFS5041R | | India | | 70.57 | 70.57 | | No | No |
| Harsh Vardhan Goenka | AABPG7041G | | India | | Ektara Enterprises LLP | AAEFE0412E | | India | | 0 | 0 | | No | No |
| Harsh Vardhan Goenka | AABPG7041G | | India | | Chattarpati Apartments LLP | AAJFC6572C | | India | | 0 | 0 | | No | No |
| Harsh Vardhan Goenka | AABPG7041G | | India | | Vayu Udaan Aircraft LLP | AAKFV1891N | | India | | 0 | 0 | | No | No |
| Harsh Vardhan Goenka | AABPG7041G | | India | | Malabar Coastal Holdings LLP | AAWFM1821H | | India | | 0 | 0 | | No | No |
| Harsh Vardhan Goenka | AABPG7041G | | India | | Atlantus Dwellings and Infrastructure LLP | AAZFA1431G | | India | | 0 | 0 | | No | No |
| Harsh Vardhan Goenka | AABPG7041G | | India | | Harsh Vardhan Goenka, Trustee of Stellar Energy Trust | AALTS1767R | | India | | 0 | 0 | | No | No |
| Harsh Vardhan Goenka | AABPG7041G | | India | | Harsh Vardhan Goenka, Trustee of Nucleus Life Trust | AABTN5833D | | India | | 0 | 0 | | No | No |
| Harsh Vardhan Goenka | AABPG7041G | | India | | Harsh Vardhan Goenka, Trustee of Secura India Trust | AALTS1766Q | | India | | 0 | 0 | | No | No |
| Harsh Vardhan Goenka | AABPG7041G | | India | | Harsh Vardhan Goenka, Trustee of Prism Estates Trust | AACTP0626M | | India | | 0 | 0 | | No | No |
| Harsh Vardhan Goenka | AABPG7041G | | India | | Mr. Anant Vardhan Goenka, Mr. Harsh Vardhan Goenka, Trustee of AVG Family Trust | AAFTA6761P | | India | | 0 | 0 | | No | No |
| Harsh Vardhan Goenka | AABPG7041G | | India | | Mr. Anant Vardhan Goenka, Mr. Harsh Vardhan Goenka, Trustee of RG Family Trust | AACTR9923K | | India | | 0 | 0 | | No | No |
| Harsh Vardhan Goenka | AABPG7041G | | India | | Harsh Vardhan Goenka, Trustee of Navya Goenka Trust | AADTN1486G | | India | | 0 | 0 | | No | No |
| Harsh Vardhan Goenka | AABPG7041G | | India | | Harsh Vardhan Goenka, Trustee of | AABT16917K | | India | | 0 | 0 | | No | No |

