

FORM NO. MGT 9

EXTRACT OF ANNUAL RETURN

as on the financial year ended on March 31, 2019.

[Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

i	CIN	L65921MH1997PLC194571
ii	Registration Date	January 30, 1997
iii	Name of the Company	Summit Securities Limited
iv	Category/Sub-category of the Company	Company Limited by Shares Indian Non-Government Company
v	Address of the Registered office & contact details	213, Bezzola Complex, B Wing,71, Sion-Trombay Road, Chembur,Mumbai- 400 071 Tel No : +91-22-25292152 Fax: +91-22-25297423 E-mail: investors@summitsecurities.net Website: www.summitsecurities.net
vi	Whether listed company	Yes on BSE Limited and National Stock Exchange of India Limited
vii	Name, Address & contact details of the Registrar & Transfer Agent, if any.	TSR Darashaw Consultants Private Limited; 6-10, Haji Moosa Patrawala Industrial Estate, 20, Dr. E. Moses Road, Mahalaxmi, Mumbai – 400 011. Tel: 022-66568484; Fax: 022-66568494 Email: csg-unit@tsrdarashaw.com Website: www.tsrdarashaw.com

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY:

All the business activities contributing 10% or more of the total turnover of the company shall be stated:-

Name & Description of main products/ services	NIC Code of the Product /service	% to total turnover of the company
Investments in Securities	64990	100%

III. PARTICULARS OF HOLDING , SUBSIDIARY & ASSOCIATE COMPANIES:

Sl. No.	Name & Address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of Shares held	Applicable Section
1.	Instant Holdings Limited ('Instant') 213, Bezzola Complex, "B" Wing, 71, Sion-Trombay Road, Chembur, Mumbai - 400071.	U65990MH2005PLC152062	Subsidiary Company	100%	2(87)
2.	Sudarshan Electronics & T.V. Limited 213, Bezzola Complex, "B" Wing, 71, Sion-Trombay Road, Chembur, Mumbai - 400071.	U32100MH1979PLC021889	Subsidiary Company of Instant	-	2(87)

IV. SHAREHOLDING PATTERN (Equity Share Capital Break up as % to total Equity) :
(i) Category wise Shareholding

Category of Shareholders	No. of Shares held at the beginning of the year i.e. April 01, 2018				No. of Shares held at the end of the year i.e. March 31, 2019				% change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. PROMOTERS:									
(1) Indian:									
a) Individual/HUF	3,682	0	3,682	0.03	3,702	0	3,702	0.03	0.00
b) Central Government	0	0	0	0.00	0	0	0	0.00	0.00
c) State Government(s)	0	0	0	0.00	0	0	0	0.00	0.00
d) Bodies Corporate	80,46,789	0	80,46,789	73.81	80,46,849	0	80,46,849	73.81	0.00
e) Bank/FI	0	0	0	0.00	0	0	0	0.00	0.00
f) Any Other: (Specify)									
f-1) Trusts	16,162	0	16,162	0.15	16,162	0	16,162	0.15	0.00
SUB TOTAL: (A)(1)	80,66,633	0	80,66,633	73.99	80,66,713	0	80,66,713	73.99	0.00
(2) Foreign:									
a) NRI- Individuals	0	0	0	0.00	0	0	0	0.00	0.00
b) Other Individuals	0	0	0	0.00	0	0	0	0.00	0.00
c) Bodies Corp.	0	0	0	0.00	0	0	0	0.00	0.00
d) Banks/FI	0	0	0	0.00	0	0	0	0.00	0.00
e) Any other...	0	0	0	0.00	0	0	0	0.00	0.00
SUB TOTAL: (A)(2)	0	0	0	0.00	0	0	0	0.00	0.00
Total Shareholding of Promoter (A)= (A)(1)+(A)(2)	80,66,633	0	80,66,633	73.99	80,66,713	0	80,66,713	73.99	0.00
B. PUBLIC SHAREHOLDING:									
(1) Institutions:									
a) Mutual Funds	85,526	339	85,865	0.79	85,526	339	85,865	0.79	0.00
b) Banks/FI	1,925	436	2,361	0.02	539	413	952	0.01	-0.01
c) Central Government	0	538	538	0.00	0	538	538	0.00	0.00
d) State Governments(s)	0	0	0	0.00	0	0	0	0.00	0.00
e) Venture Capital Fund	0	0	0	0.00	0	0	0	0.00	0.00
f) Insurance Companies	3,34,486	5	3,34,491	3.07	3,34,486	5	3,34,491	3.07	0.00
g) Foreign Institutional Investors	7	951	958	0.01	39,685	951	40,636	0.37	0.36
h) Foreign Venture Capital Funds	0	0	0	0.00	0	0	0	0.00	0.00
i) Others (specify)	0	0	0	0.00	0	0	0	0.00	0.00
SUB TOTAL (B)(1):	4,21,944	2,269	4,24,213	3.89	4,60,236	2,246	4,62,482	4.24	0.35
(2) Non Institutions:									
a) Bodies Corporates:									
i) Indian	6,01,546	2,013	6,03,559	5.54	5,55,406	1,993	5,57,399	5.11	-0.42
ii) Overseas	0	99,122	99,122	0.91	99,019	103	99,122	0.91	0.00
b) Individuals:									
i) Individual shareholders holding nominal share capital upto ₹1 lacs	9,71,380	98,365	10,69,745	9.81	9,75,570	92,399	10,67,969	9.80	-0.02
ii) Individuals shareholders holding nominal share capital in excess of ₹1 lacs	4,95,422	0	4,95,422	4.54	4,57,801	0	4,57,801	4.20	-0.35
c) Others (specify):									
(i) Trusts	7,320	0	7,320	0.07	7,319	0	7,319	0.07	0.00
(ii) Unclaimed Securities Suspende Account	25,451	0	25,451	0.23	25,421	0	25,421	0.23	0.00
(iii) Director and Relatives	0	101	101	0.00	2	1	3	0.00	0.00
(iv) Non-Resident Indian (NRI)	28,265	97	28,362	0.26	33,063	103	33,166	0.30	0.04
(v) HUF	42,079	0	42,079	0.39	50,083	0	50,083	0.46	0.07
(vi) Clearing Members	31,987	0	31,987	0.29	66,273	0	66,273	0.61	0.31
(vii) LLP	4,843	0	4,843	0.04	5,118	0	5,118	0.05	0.00
(viii) BC- NBFC	2,857	0	2,857	0.03	2,907	0	2,907	0.03	0.00
(ix) Other	82	5	87	0.00	0	5	5	0.00	0.00
SUB TOTAL: (B)(2)	22,11,232	1,99,703	24,10,935	22.12	22,77,982	94,604	23,72,586	21.76	-0.35
Total Public Shareholding (B)= (B)(1)+(B)(2)	26,33,176	2,01,972	28,35,148	26.01	27,38,218	96,850	28,35,068	26.01	0.00
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0.00	0	0	0	0.00	0.00
Grand Total (A)+(B)+(C)	1,06,99,809	2,01,972	1,09,01,781	100.00	1,08,04,931	96,850	1,09,01,781	100.00	0.00

(ii) Share Holding of Promoters:

Sl. No.	Shareholder's Name	Shareholding at the beginning of the year i.e. 01.04.2018			Shareholding at the end of the year i.e. 31.03.2019			% change in share holding during the year
		No. of Shares	% of total shares of the company	% of shares Pledged/ encumbered to total shares	No. of Shares	% of total shares of the company	% of shares Pledged/ encumbered to total shares	
1.	ANANT VARDHAN GOENKA	1,318	0.01	0.00	1,318	0.01	0.00	0.00
2.	HARSH VARDHAN GOENKA	2,153	0.02	0.00	2,153	0.02	0.00	0.00
3.	HARSH VARDHAN GOENKA (Trustee, Monitor Portfolio Trust)	16,157	0.15	0.00	16,157	0.15	0.00	0.00
4.	HARSH VARDHAN GOENKA (Trustee, Crystal India Tech Trust)	1	0.00	0.00	1	0.00	0.00	0.00
5.	HARSH VARDHAN GOENKA (Trustee, Nucleus Life Trust)	1	0.00	0.00	1	0.00	0.00	0.00
6.	HARSH VARDHAN GOENKA (Trustee, Stellar Energy Trust)	1	0.00	0.00	1	0.00	0.00	0.00
7.	HARSH VARDHAN GOENKA (Trustee, Secura India Trust)	1	0.00	0.00	1	0.00	0.00	0.00
8.	HARSH VARDHAN GOENKA (Trustee, Prism Estates Trust)	1	0.00	0.00	1	0.00	0.00	0.00
9.	RAMA PRASAD GOENKA	211	0.00	0.00	211	0.00	0.00	0.00
10.	STEL HOLDINGS LIMITED	69,815	0.64	0.00	69,815	0.64	0.00	0.00
11.	JUBILEE INVESTMENTS AND INDUSTRIES LTD **	2,285	0.02	0.00	2,285	0.02	0.00	0.00
12.	RPG CELLULAR INVESTMENTS AND HOLDINGS PVT LTD	2,90,799	2.67	0.00	0	0.00	0.00	-2.67
13.	SWALLOW ASSOCIATES LLP	73,29,731	67.23	0.00	76,20,530	69.90	0.00	2.67
14.	CARNIWA INVESTMENTS LTD	3,54,159	3.25	0.00	3,54,159	3.25	0.00	0.00
15.	MALA GOENKA	0	0.00	0.00	10	0.00	0.00	0.00
16.	RADHA ANANT GOENKA	0	0.00	0.00	10	0.00	0.00	0.00
17.	ATLANTUS DWELLINGS AND INFRASTRUCTURE LLP	0	0.00	0.00	10	0.00	0.00	0.00
18.	CHATTARPATI APARTMENTS LLP	0	0.00	0.00	10	0.00	0.00	0.00
19.	EKTARA ENTERPRISES LLP	0	0.00	0.00	10	0.00	0.00	0.00
20.	MALABAR COASTAL HOLDINGS LLP	0	0.00	0.00	10	0.00	0.00	0.00
21.	SOFREAL MERCANTRADE PVT LTD	0	0.00	0.00	10	0.00	0.00	0.00
22.	VAYU UDAAN AIRCRAFT LLP	0	0.00	0.00	10	0.00	0.00	0.00
	Total	80,66,633	73.99	0.00	80,66,713	73.99	0.00	0.00

** Jubilee Investments & Industries Limited merged with RPG Cellular Investments and Holdings Private Limited ('RCIHPL') pursuant to the Scheme of Amalgamation between RPG Communication & Holdings Private Limited, KTL Industrial Finance Company Limited, Kocilim Breweries Private Limited, Yield Investments Private Limited and Jubilee Investments & Industries Limited with RCIHPL duly sanctioned by the Honorable High Court of Calcutta on June 11, 2009. RCIHPL merged with Swallow Associates Limited ('SAL') pursuant to the Scheme of Amalgamation and Arrangement between SAL and Blue Niles Holdings Limited, South Asia Electricity Holdings Limited, Kestral Investments Limited, Petrochem International Limited and RCIHPL duly sanctioned by the Honorable High Court of Judicature at Bombay w.e.f. March 27, 2012. Further, SAL has been converted into a Limited Liability Partnership with effect from October 31, 2012 and now is known as Swallow Associates LLP ('Swallow').

(iii) Change in Promoters' Shareholding (please specify, if there is no change):

Sl. No.		Share holding at the beginning of the year		Date	Increase/ (Decrease) in Shareholding	Reason	Cumulative Share holding during the year	
		No. of Shares	% of total shares of the company				No of shares	% of total shares of the company
	At the beginning of the Year	80,66,633	73.99	01.04.2018	-	-	80,66,633	73.99
	Date wise Increase/ Decrease in Promoters Shareholding during the year specifying the reasons for Increase/ Decrease (e.g. allotment/ transfer/bonus/ sweat equity etc)							
1.	Mala Goenka	-	-	13.04.2018	Increase	Market Purchase	10	0.00
2.	Radha Anant Goenka	-	-	13.04.2018	Increase	Market Purchase	10	0.00
3.	Atlantus Dwellings and Infrastructure LLP	-	-	13.04.2018	Increase	Market Purchase	10	0.00
4.	Chattarpati Apartments LLP	-	-	13.04.2018	Increase	Market Purchase	10	0.00
5.	Ektara Enterprises LLP	-	-	13.04.2018	Increase	Market Purchase	10	0.00
6.	Malabar Coastal Holdings LLP	-	-	13.04.2018	Increase	Market Purchase	10	0.00
7.	Sofreal Mercantrade Pvt Limited	-	-	13.04.2018	Increase	Market Purchase	10	0.00
8.	Vayu Udaan Aircraft LLP	-	-	13.04.2018	Increase	Market Purchase	10	0.00
9.	RPG Cellular Investments And Holdings Pvt Ltd *	2,90,799	2.67	29.03.2019	Decrease	Inter-DP transfer	-	-
10.	Swallow Associates LLP	73,29,731	67.23	29.03.2019	Increase	Inter-DP transfer	76,20,530	69.90
	At the end of the Year	80,66,633	73.99				80,66,713	73.99

Note: * Off market Inter DP transfer of 2,90,799 shares held by erstwhile RPG Cellular Investments and Holdings Pvt. Ltd. to Swallow Associates LLP.

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters & Holders of GDRs & ADRs) (Refer Note 1):

Sl. No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding at the end of the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1.	Life Insurance Corporation of India	2,97,152	2.73	2,97,152	2.73
2.	VLS Finance Limited	1,81,561	1.67	1,58,554	1.45
3.	SMIFS Capital Markets Limited	1,56,500	1.44	1,56,500	1.44
4.	Reliance Financial Limited	1,33,500	1.22	1,33,500	1.22
5.	Chinappa M B	1,61,910	1.49	1,17,809	1.08
6.	Kevin Dsilva	98,061	0.90	1,01,061	0.93
7.	Societe Ceat D Investissementen Asie S A	99,019	0.91	99,019	0.91
8.	Seetha Kumari	91,500	0.84	91,500	0.84
9.	SBI Mutual Fund	85,188	0.78	85,188	0.78
10.	Metrica Asia Event Driven Master Fund	-	-	39,678	0.36

Note: 1. The shares of the Company are traded on daily basis. Hence, the date wise increase/decrease in the shareholding of the above shareholders is not provided.

2. The shareholdings of the above shareholders are consolidated based on the name of the first named holder of the shares, consolidated on basis of the PAN, irrespective of sub-accounts.

(v) Shareholding of Directors and Key Managerial Personnel (KMP):**Name: Mr. H. N. Singh Rajpoot**

Sr. No.	For Each of the Directors & KMP	Shareholding at the end of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No of shares	% of total shares of the company
1.	At the beginning of the year i.e. April 1, 2018	101	0.00	101	0.00
2.	Date wise increase/decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/ bonus/ sweat equity, etc.)	-100	0.00	1	0.00
3.	At the end of the year i.e. March 31, 2019	1	0.00	1	0.00

Note: Apart from above no other Director or KMP held any shares in the Company at the beginning and at the end of the FY 2018-19.

V. INDEBTEDNESS:**Indebtedness of the Company including interest outstanding/accrued but not due for payment:**

Amount in ₹

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	None			
ii) Interest due but not paid				
iii) Interest accrued but not due				
Total (i+ii+iii)				
Change in Indebtedness during the financial year				
Additions	None			
Reduction				
Net Change				
Indebtedness at the end of the financial year				
i) Principal Amount	None			
ii) Interest due but not paid				
iii) Interest accrued but not due				
Total (i+ii+iii)				

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL:**A. Remuneration to Managing Director, Whole time Director and/or Manager:**

Amount in ₹

Sl. No.	Particulars of Remuneration	Mr. Rohin Bomanji (Manager)	Total Amount
1.	Gross salary		
	(a) Salary as per provisions contained in section 17(1) of the Income Tax, 1961	6,000	6,000
	(b) Value of perquisites u/s 17(2) of the Income tax Act, 1961	0	0
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961	0	0
2.	Stock option	0	0
3.	Sweat Equity	0	0
4.	Commission	0	0
	- as % of profit	0	0
	- others (specify)	0	0
5.	Others, please specify	0	0
6.	Total (A)	6,000	6,000
7.	Ceiling as per the Act	As per Section 197 of Companies Act, 2013	