

SUMMIT SECURITIES LIMITED

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(I) (a) Statement showing Shareholding Pattern

Name of the Company:		SUMMIT SECURITIES LIMITED	
Scrip Code, Name of the scrip, class of security:		BSE : 533306 / NSE : SUMMITSEC	
Quarter ended:		31st December 2011	
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by Promoter / Promoters Group	—	—	—
Held by public	—	—	—
Total			
Outstanding convertible securities:-	No. of outstanding securities	As a % of total No. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by Promoter / Promoters Group	—	—	—
Held by public	—	—	—
Total			
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by Promoter / Promoters Group	—	—	—
Held by public	—	—	—
Total			
Total paid-up capital of the company assuming full conversion of warrants and convertible securities			

Category code	Category of Shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialised form	Total Shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B) (VI)	As a percentage of (A+B+C) (VII)	Number of shares (VIII)	As a percentage (VIII)/(IV)*100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
(A)	Shareholding of Promoter and Promoter Group							
(1)	Indian							
(a)	Individuals / Hindu Undivided Family	4	3591	3591	0.03	0.03	0	0.00
(b)	Central Government / State Governments(s)	0	0	0	0.00	0.00		
(c)	Bodies Corporate	16	7436179	7436179	68.21	68.21		
(d)	Financial Institutions / Banks	0	0	0	0.00	0.00		
(e)	Any Other	1	245455	245455	2.25	2.25		
	Sub-Total (A) (1)	21	7685225	7685225	70.49	70.49	0	0.00
(2)	Foreign							
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	0	0	0	0.00	0.00		
(b)	Bodies Corporate	1	99019	0	0.91	0.91	0	
(c)	Institutions	0	0	0	0.00	0.00		
(d)	Any Other (specify)	0	0	0	0.00	0.00		
	Sub-Total (A) (2)	1	99019	0	0.91	0.91	0	0
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)	22	7784244	7685225	71.40	71.40	0	0.00
(B)	Public Shareholding						N.A.	N.A.
(1)	Institutions							
(a)	Mutual Funds / UTI	63	88509	86669	0.81	0.81	-	-
(b)	Financial Institutions / Banks	71	1091	534	0.01	0.01	-	-
(c)	Central Government / State Governments(s)	2	538	0	0.00	0.00	-	-
(d)	Venture Capital Funds	0	0	0	0.00	0.00	-	-
(e)	Insurance Companies	8	437797	437792	4.02	4.02	-	-
(f)	Foreign Institutional Investors	67	4410	1960	0.04	0.04	-	-
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	-	-
(h)	Any Other	0	0	0	0.00	0.00	-	-
	Sub-Total (B) (1)	211	532345	526955	4.88	4.88	N.A.	N.A.
(2)	Non-Institutions							
(a)	Bodies Corporate	1211	606736	603828	5.57	5.57	-	-
(b)	Individuals -							
i	Individual shareholders holding nominal share capital upto Rs. 1 lakh	93206	1899586	1760974	17.43	17.43	-	-
ii	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	7	78273	78273	0.72	0.72	-	-
(c)	Any Other (Trusts)	12	230	230	0.00	0.00	-	-
	(Directors & Relatives)	4	202	0	0.00	0.00	-	-
	(Foreign Company)	4	165	62	0.00	0.00	-	-
	Sub-total (B) (2)	94444	2585192	2443367	23.72	23.72	-	-
	Total Public Shareholding (B) = (B)(1)+(B)(2)	94655	3117537	2970322	28.60	28.60	N.A.	N.A.
	TOTAL (A)+(B)	94677	10901781	10655547	100.00	100.00	N.A.	N.A.
(C)	Shares held by Custodians and against which Depository Receipts have been issued	0	0	0	0.00	0.00		
	GRAND TOTAL (A)+(B)+(C)	94677	10901781	10655547	100.00	100.00	N.A.	N.A.



(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Name of the Company : Summit Securities Limited
Scrip Code : BSE : 533306 / NSE : SUMMITSEC
Quarter ended : 31st December 2011

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)		Details of Shares held		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number	As a % of grand total (A)+(B)+(C)	No.	As a percentage	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(i)	(ii)	(iii)	(iv)	(v)	(vi) = (v) / (iii) * 100	(vii)	(ix)	(x)	(xi)	(xii)
1	ANANT VARDHAN GOENKA	1,318	0.01		NIL	-	-	-	-	0.01
2	HARSH VARDHAN GOENKA	562	0.01		NIL	-	-	-	-	0.01
3	HARSHVARDHAN GOENKA	1,500	0.01		NIL	-	-	-	-	0.01
4	RAMA PRASAD GOENKA	211	0.00		NIL	-	-	-	-	0.00
5	SURESH MATHEW	245455	2.25		NIL	-	-	-	-	2.25
6	BLUE NILES HOLDINGS LIMITED	22213	0.20		NIL	-	-	-	-	0.20
7	SENTINEL TEA AND EXPORTS LIMITED	69,815	0.64		NIL	-	-	-	-	0.64
8	INSTANT HOLDINGS LTD	331168	3.04		NIL	-	-	-	-	3.04
9	INSTANT HOLDINGS LIMITED	4,472	0.04		NIL	-	-	-	-	0.04
10	JUBILEE INVESTMENTS INDUSTRIES LTD	2255	0.02		NIL	-	-	-	-	0.02
11	PETROCHEM INTERNATIONAL LTD	1,428,666	13.09		NIL	-	-	-	-	13.09
12	PETROCHEM INTERNATIONAL LIMITED	756,450	6.94		NIL	-	-	-	-	6.94
13	RPG CELLULAR INVESTMENTS & HOLDINGS PVT	290799	2.67		NIL	-	-	-	-	2.67
14	RPG CELLULAR INVESTMENTS AND HOLDINGS P	1118599	10.26		NIL	-	-	-	-	10.26
15	RPG CELLULAR INVESTMENTS AND HOLDINGS P	910,173	8.35		NIL	-	-	-	-	8.35
16	SOCIETE CEAT LTD INVESTMENT ASIE S A	89019	0.81		NIL	-	-	-	-	0.81
17	SOUTH ASIA ELECTRICITY HOLDINGS LTD	4	0.00		NIL	-	-	-	-	0.00
18	SOUTH ASIA ELECTRICITY HOLDINGS LTD	2,100,000	19.26		NIL	-	-	-	-	19.26
19	KESTREL INVESTMENTS LIMITED	217,346	1.99		NIL	-	-	-	-	1.99
20	CARNIVAL INVESTMENT LTD	187	0.00		NIL	-	-	-	-	0.00
21	CARNIVAL INVESTMENTS LTD	179,708	1.65		NIL	-	-	-	-	1.65
22	CARNIVAL INVESTMENTS LTD	8,294	0.08		NIL	-	-	-	-	0.08
	TOTAL	7,784,244	71.40		NIL	-	-	-	-	71.40

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 26(3) of the SAST Regulations, 2011

(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (i)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	LIFE INSURANCE CORPORATION OF INDIA	297,147	2.73	-	-	-	-	2.73
2	PROGRESSIVE STAR FINANCE PRIVATE LIMITED	118,750	1.01	-	-	-	-	1.01
	TOTAL	407,897	3.74	-	-	-	-	3.74

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (i)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	N/A							
	TOTAL							



(d) Statement showing details of locked-in-shares

Sr. No.	Name of the shareholder	Category Of Shareholders (Promoters/Public)	Number of Shares	Locked-in shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in statement at para (i)(a) above)
1	PETROCHEM INTERNATIONAL LTD	Promoter	1309600	12.01
2	V K Gupta	Public	200	0.00
3	Sharan Sinha	Public	100	0.00
4	R C Agarwala	Public	100	0.00
5	V K Anand	Public	100	0.00
6	Suresh Mathew	Public	100	0.00
7	H N Singh Rajpoot	Public	100	0.00
8	L V H Rao	Public	100	0.00
9	South Asia Electricity Holdings Ltd.	Promoter	2,100,000	19.26
10	Petrochem International Limited	Promoter	700,000	6.42
11	Rpg Cellular Investments And Holdings P Ltd	Promoter	868,400	7.97
TOTAL			4,978,300	45.66

(i) (a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of	Number of Shares	Shares underlying outstanding DRs as a
1	N.A.			
2				
TOTAL				

(i) (b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by 'Promoter / Promoter Group' are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding	Number of Shares	Shares underlying outstanding DRs as a
1	N.A.			
2				
TOTAL				



(III) (a) Statement showing the voting pattern of shareholders, if more than one class of shares / securities is issued by the issuer.

Category code	Category of Shareholder	Number of Voting Rights held in each class of securities			Total Voting Rights (III+IV+V)	Total Voting Rights i.e. (VI)	
		Class X	Class Y	Class Z		As a percentage of (A+B)	As a percentage of (A+B+C)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)
(A)	Shareholding of Promoter and Promoter Group		NA	NA			
(1)	Indian						
(a)	Individuals / Hindu Undivided Family	3591			3591	0.03	0.03
(b)	Central Government / State Governments(s)	0			0	0.00	0.00
(c)	Bodies Corporate	7436179			7436179	68.21	68.21
(d)	Financial Institutions / Banks	0			0	0.00	0.00
(e)	Any Other (trust)	245455			245455	2.25	2.25
	Sub-Total (A) (1)	7685225	0	0	7685225	70.49	70.49
(2)	Foreign		NA	NA			
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	0			0	0.00	0.00
(b)	Bodies Corporate	99019			99019	0.91	0.91
(c)	Institutions	0			0	0.00	0.00
(d)	Any Other (specify)	0			0	0.00	0.00
	Sub-Total (A) (2)	99019	0	0	99019	0.91	0.91
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)	7784244	0	0	7784244	71.40	71.40
(B)	Public Shareholding		NA	NA			
(1)	Institutions						
(a)	Mutual Funds / UTI	88509			88509	0.81	0.81
(b)	Financial Institutions / Banks	1091			1091	0.01	0.01
(c)	Central Government / State Governments(s)	538			538	0.00	0.00
(d)	Venture Capital Funds	0			0	0.00	0.00
(e)	Insurance Companies	437797			437797	4.02	4.02
(f)	Foreign Institutional Investors	4410			4410	0.04	0.04
(g)	Foreign Venture Capital Investors	0			0	0.00	0.00
(h)	Any Other (specify)	0			0	0.00	0.00
	Sub-Total (B) (1)	532345	0	0	532345	4.88	4.88
(2)	Non-Institutions		NA	NA			
(a)	Bodies Corporate	606736			606736	5.57	5.57
(b)	Individuals -						
i	Individual shareholders holding nominal share capital upto Rs. 1 lakh	1899586			1899586	17.43	17.43
ii	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	78273			78273	0.72	0.72
(c)	Any Other (specify)						
i	Trusts	230			230	0.00	0.00
ii	Directors & their relatives	202			202	0.00	0.00
iii	Foreign Company	165			165	0.00	0.00
	Sub-total (B) (2)	2585192	0	0	2585192	23.72	23.72
	Total Public Shareholding (B) = (B)(1)+(B)(2)	3117537	0	0	3117537	28.60	28.60
	TOTAL (A)+(B)	10901781	0	0	10901781	100.00	100.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued		NA	NA	0	0.00	0.00
	GRAND TOTAL (A)+(B)+(C)	10901781	0	0	10901781	100.00	100.00

